Chapter V: Alpha decay

#### Summary

- 1. General principles
- 2. Energy and momentum conservations
- 3. Theory of  $\alpha$  emission
- 4. Angular momentum and parity

# General principles (1)

- The nucleus emit an  $\alpha$  particle i.e. a nucleus of helium:  ${}_{2}^{4}\text{He}_{2}$
- Alpha emission is a Coulomb repulsion effect → becomes important for heavy nuclei (Coulomb repulsion in heavy nuclei due to the larger number of protons present) → Bethe-Weizsäcker formula → Coulomb force ↗ with size at a faster rate (in ~ Z<sup>2</sup>) than does the specific nuclear binding force (in ~ A)
- The  $\alpha$  emission is particularly favored (compared to other particles) due to
  - Its very stable and tightly bound structure
  - Its small mass
  - Its small charge
- Theoretically some heavier particles as <sup>8</sup>Be or <sup>12</sup>C may be emitted or the fission into equal daughter nuclides may happen → but very penalized

# General principles (2)

- For a nucleus to be an α emitter → not enough for the α decay to be energetically possible → the disintegration constant must also not be too small → the α emission would occur so rarely that it may never be detected (T<sub>½</sub> < 10<sup>16</sup> years)
- Moreover if  $\beta$  decay is present  $\rightarrow$  can mask the  $\alpha$  decay
- Most nuclei with A > 190 and many with 150 < A < 190 are energetically possible emitters but ½ of them effectively meet the 2 other conditions

#### Energy conservation (1)

• The  $\alpha$  emission process (between ground state levels) is:

$${}^{A}_{Z}X_{N} \rightarrow {}^{A-4}_{Z-2}X'_{N-2} + \alpha$$

- Rutherford shows in 1908 that the  $\alpha$  particle is a nucleus of <sup>4</sup>He  $\rightarrow$  constituted of 2 protons and 2 neutrons
- Energy conservation with the initial decaying nucleus X at rest  $\rightarrow$

$$m_X c^2 = m_{X'} c^2 + T_{X'} + m_\alpha c^2 + T_\alpha$$

- Due to the linear momentum conservation → X' and α are in motion → T is the kinetic energy
- Equivalently we write  $\rightarrow$

$$T_{X'} + T_{\alpha} = (m_X - m_{X'} - m_{\alpha})c^2$$

### Energy conservation (2)

- Q = (m<sub>X</sub> m<sub>X'</sub> m<sub>α</sub>)c<sup>2</sup> = the net energy released in the decay → the decay occurs spontaneously only if Q > 0
- Q can be calculated from atomic masses (even we discuss about nuclear processes) because the electron masses cancel in the subtraction
- For a typical α emitter (232-U) → Q may be calculated from the known masses for various emitted particles:

Emitted Particle	Energy Release (MeV)	Emitted Particle	Energy Release (MeV)
n	-7.26	<sup>4</sup> He	+5.41
чн	- 6.12	<sup>5</sup> He	-2.59
2 H	- 10.70	<sup>6</sup> He	-6.19
зн	- 10.24	<sup>6</sup> Li	- 3:79
<sup>3</sup> He	-9.92	<sup>7</sup> Li	-1.94

### Energy conservation (3)

- Only α emission is possible in this case → α is very stable → α has a relatively small mass compared with the mass of its separate constituents
- The Q value is also the total kinetic energy given to the decay fragments  $Q = T_{\chi'} + T_{\alpha}$
- For  $Q > 0 \rightarrow$  we find back the condition  $m_{\chi} > m_{\chi'} + m_{\alpha}$  of instability in particles
- Remark: α disintegration towards excited levels of X' are possible → the excitation energy of the nucleus X' has to be subtracted from Q

#### Linear momentum conservation

- As the original nucleus is at rest → X' and α move with equal and opposite momenta → p<sub>α</sub> = p<sub>X'</sub>
- As the  $\alpha$  decay released typically 5 MeV  $\rightarrow$  we can use nonrelativistic kinematics  $\rightarrow m_{\alpha}T_{\alpha} = m_{\chi'}T_{\chi'} \rightarrow$

$$T_{\alpha} = \frac{Q}{1 + m_{\alpha}/m_{X'}}$$

• As X' is a heavy nucleus  $\rightarrow$  A  $\gg$  4  $\rightarrow$ 

$$T_{\alpha} = Q(1 - 4/A), \ T_{X'} = 4Q/A$$

• Typically the  $\alpha$  carries 98% of the Q energy and X' carries 2% (recoil energy) corresponding for  $\alpha$  = 5 MeV to T<sub>X'</sub> = 100 keV

### Released energy (1)

• Introducing the binding energies the energy released during the  $\alpha$  decay may be written  $\rightarrow$ 

$$Q = B(4,2) + B(A - 4, Z - 2) - B(A, Z)$$

• Thus Q > 0 becomes  $\rightarrow$ 

$$B(4,2) > B(A,Z) - B(A-4,Z-2)$$
  
=  $A \frac{B(A,Z)}{A} - (A-4) \frac{B(A-4,Z-2) - A}{A-4}$   
=  $4 \left( \frac{B(A,Z)}{A} \right)_m + (A-2)\Delta$ 

•  $(B/A)_m$  is the mean binding energy by nucleon of parent and daughter nuclei and  $\Delta$  is their difference ( $\approx$  30 keV for heavy nuclei) <sup>9</sup>

### Released energy (2)

• As  $B(4,2) \approx 28 \text{ MeV} \rightarrow \text{we have thus approximately} \rightarrow$ 

$$\left(\frac{B(A,Z)}{A}\right)_m < \frac{B(4,2)}{4} \approx 7 \text{ MeV}$$

- Du to the term  $\Delta \rightarrow \alpha$  decay becomes frequent for nuclei with A > 200 for which B/A is < 7.8 MeV
- This also explains why the α emission is favored compared to other nuclei as deuteron (B(2,1)/2 ≈ 1.11 MeV) or tritium (B(3,1)/3 ≈ 2.83 MeV) → indeed the α particle has tightly bound structure → a pair of neutrons and a pair of protons inside a nucleus is favored to form an α-cluster

# Theory of $\alpha$ emission (1)

- General features of  $\alpha$  emission theory have been developed by Gamow, Gurney and Condon in 1928
- The  $\alpha$  particle is assumed to move in a spherical region determined by the daughter nucleus  $\rightarrow$  one-body model
- The  $\alpha$  particle is preformed inside the parent nucleus  $\rightarrow$  there is no proof that it is well the case but it works quite well
- Deeply inside the heavy nucleus  $\rightarrow$  attractive nuclear force dominates the Coulomb repulsion force
- Outside the nucleus  $\rightarrow$  only remains the Coulomb force
- Between the 2 (close to the nucleus surface)  $\rightarrow$  number of • neighbours  $\lor$   $\rightarrow$  nuclear attractive force  $\lor$   $\rightarrow$  equilibrium with repulsion force  $\rightarrow$  potential barrier
- To be emitted the  $\alpha$  particle has to cross this potential barrier by tunnel effect 11



- The probability of disintegration per time unit W is supposed to be  $\propto$  to the probability of crossing the barrier  $\rightarrow W \propto |T|^2$ with T the transmission coefficient
- The transmission probability is given by the WKB approximation

#### WKB approximation

- For decay between ground state levels (e.g.  $0^+$ )  $\rightarrow$  we have to resolve  $u''(r) - 2\mu/\hbar^2 (V(r) - E) u(r) = 0$  (with  $\mu \approx m_{\alpha} m_{x'}/m_x$  the reduced mass of the  $\alpha$  nucleus and the daughter nucleus)
- By choosing  $u(r) = \exp(iS(r))$  with S(r) slowly varying  $\rightarrow$



#### Theory of $\alpha$ emission (3)

• WKB approximation applied to  $\alpha$  emission  $\rightarrow r_1 = R$  (the radius of the nucleus),  $r_2 = 2(Z-2)e^2/4\pi\epsilon_0 E$ , with

$$V(r) = \begin{cases} 0 & r < R\\ 2(Z-2)e^2/4\pi\epsilon_0 r & r \ge R \end{cases}$$
$$T|^2 = \exp\left\{-2\left(\frac{2\mu E}{\hbar^2}\right)^{1/2} \int_R^{r_2} \left(\frac{r_2}{r} - 1\right)^{1/2} dr\right\}$$

• Transforming  $r = r_2 cos^2 u \rightarrow$ 

$$\int_{R}^{r_2} \left(\frac{r_2}{r} - 1\right)^{1/2} dr = r_2 \left[\arccos\sqrt{\frac{R}{r_2}} - \sqrt{\frac{R}{r_2}}\sqrt{1 - \frac{R}{r_2}}\right]_{\frac{1}{2}}$$

#### Theory of $\alpha$ emission (4)

- For a heavy nucleus emitting 5 MeV  $\alpha \rightarrow r_2 \approx 0.6 Z \text{ fm} > R \approx 1.25 A^{1/3} \text{ fm}$
- Assuming  $R/r_2 \approx 0 \rightarrow$  we obtain the Gamow approximation  $\rightarrow$   $|T|^2 \approx \exp(-2\pi\eta)$

where  $\eta$  the Sommerfeld factor is ( $\alpha$  = 1/137)

$$\eta = (Z-2)\alpha \sqrt{\frac{2\mu c^2}{E}}$$

• The mean lifetime  $\tau = W^{-1}$  approximately follows  $\rightarrow$ 

$$\log_{10} \tau \approx C_1 + C_2 \frac{Z - 2}{\sqrt{E}}$$

with  $C_2 = 2\pi (\log_{10} e) \alpha \sqrt{2\mu c^2}$ 

#### Theory of $\alpha$ emission (5)



 ${\it E}_{\alpha}$  is the kinetic energy of the  $\alpha$  particle in MeV

### Theory of $\alpha$ emission (6)

- The α emitters with short mean lifetime have large disintegration energy (and conversely) ↔ observed in 1911: Geiger-Nutall rule
- Examples: 232-Th:  $T_{\frac{1}{2}}$  = 1.4 × 10<sup>10</sup> years, Q = 4.08 MeV and 218-Th:  $T_{\frac{1}{2}}$  = 1.0 × 10<sup>-7</sup> s, Q = 9.85 MeV
- A factor 2 in energy implies a factor 10<sup>24</sup> in half-life
- Correct tendency for all isotopes but very good only for Z and N both even nuclei

А	Q (MeV)	$t_{1/2}$ (8)	(s)
		Measured	Calculated
220	8.95	10~5	$3.3 \times 10^{-7}$
222	8.13	$2.8 \times 10^{-3}$	$6.3 \times 10^{-5}$
224	7.31	1.04	$3.3 \times 10^{-2}$
226	6.45	1854	$6.0 \times 10^{1}$
228	5.52	$6.0 \times 10^{7}$	$2.4 \times 10^{6}$
230	4.77	$2.5 \times 10^{12}$	$1.0  imes 10^{11}$
232	4.08	$4.4 \times 10^{17}$	$2.6 \times 10^{16}$

17

#### Theory of $\alpha$ emission (7)



#### Theory of $\alpha$ emission (8)



- When  $A > 212 \rightarrow$  adding neutrons to a nucleus reduces the disintegration ۲ energy  $\rightarrow$  due to the Geiger-Nuttall rule  $\rightarrow$  increase of the half-life  $\rightarrow$  the nucleus becomes more stable
- The discontinuity near A = 212 occurs where  $N = 126 \rightarrow$  another example of ٠ nuclear shell structure.

## Theory of $\alpha$ emission (9)

- Geiger-Nutall rule enables us to understand why other decays into light particles are not commonly seen (even though they are allowed by the *Q* value)
- Example 1: the decay <sup>220</sup>Th  $\rightarrow$  <sup>12</sup>C + <sup>208</sup>Po would have Q = 32.1MeV  $\rightarrow T_{\frac{1}{2}} = 2.3 \times 10^{6} \text{ s} = 10^{13} \text{ longer than the } \alpha\text{-decay } \rightarrow \text{not}$ easily be observable
- Example 2: Normally 223-Ra decays by  $\alpha$  emission with  $T_{\gamma_2}$  = 11.2 days but another process has been discovered: <sup>223</sup>Ra  $\rightarrow$  <sup>14</sup>C + <sup>209</sup>Pb with a very small probability  $\rightarrow \sim 10^{-9}$  relative to the  $\alpha$  decay  $\rightarrow$  extremely complicated measurement

# Theory of $\alpha$ emission (10)

- Geiger-Nutall rule is able to reproduce T<sub>1/2</sub> within 1-2 orders of magnitude over a range of more than 20 orders
- Approximations in previous calculations:
- Initial and final wave functions (↔ Fermi Golden Rule) are not considered
- The nucleus is assumed to be spherical with R ≈ 1.25 A<sup>1/3</sup> fm
  → heavy nuclei (specially with A ≥ 230) have strongly deformed shape
- 3. The angular momentum carried by the  $\alpha$  particle is neglected

### Angular momentum and parity (1)

- In previous calculations → transition between ground state levels (e.g. 0<sup>+</sup>) → but an initial state can populate different final states in the daughter nucleus → « fine structure » of α decay
- In that case we have to consider the angular momentum  $J_i$  and  $J_f$  of the of the initial and final nuclear state  $\rightarrow$  and consequently the angular momentum of the  $\alpha$  particle  $\ell_{\alpha}$
- Consequently  $\rightarrow \alpha$  decay must follow the laws of the conservation of angular momentum and of parity

#### Angular momentum and parity (2)

• Definition of the total angular momentum **J** for *i* nucleons:

$$oldsymbol{J} = \sum_{i=1}^{A} (oldsymbol{L}_i + oldsymbol{S}_i)$$

with  $L_i$  and the orbital angular momentum operator and  $S_i$  the spin operator of the *i*<sup>th</sup> nucleon

• In the particular case of  $\alpha$  decay  $\rightarrow$  this expression may be written (with  $I_{\alpha}$  and  $\mathcal{E}_{\alpha}$  the spin and angular momentum of the  $\alpha$  particle and  $J_{i}$  and  $J_{f}$  written for initial and final nuclear states)  $\rightarrow$ 

$$oldsymbol{J}_i = oldsymbol{J}_f + oldsymbol{I}_lpha + oldsymbol{\ell}_lpha$$

• The  $\alpha$  particle wave function is then represented by a  $Y_{\ell m}$  with  $\ell = \ell_{\alpha}$ 

### Angular momentum and parity (3)

- As the <sup>4</sup>He nucleus consists of 2 protons and 2 neutrons all in 1s state → their spins coupled pairwise → I<sub>α</sub> = 0
- The composition of the 3 remaining angular momenta leads to  $\Rightarrow$   $|J_i-J_f| \leq \ell_\alpha \leq J_i+J_f$
- The conservation of parity implies  $\rightarrow$

$$\pi_i = \pi_f \pi_\alpha (-1)^{\ell_\alpha}$$

• Moreover as the parity  $\pi_{\alpha}$  of  $\alpha$  particle is + (even-even nucleus)  $\rightarrow$  the parity conservation rule becomes  $\rightarrow$ 

$$(-1)^{\ell_{\alpha}} = \pi_i \pi_f$$

- If the initial and final parities are the same  $\rightarrow \ell_{\alpha}$  must be even  $\leftrightarrow$ If the parities are different  $\rightarrow \ell_{\alpha}$  must be odd
- In particular for an initial state 0<sup>+</sup> (frequent case)  $\rightarrow \ell_{lpha}$  = J<sub>f</sub> 24

### Angular momentum and parity (4)

- Another consequence of the introduction of  $\ell_{\alpha} \rightarrow$  the barrier of potential is raised and becomes (particle in a well):  $V(r) + \hbar^2 \ell_{\alpha} (\ell_{\alpha} + 1)/2mr^2$
- The additional term is always > 0 → ↗ of the barrier thickness
  → the probability transition ↘
- Moreover the Q value ≥ when the final state is not the ground state: Q → Q E<sub>x</sub> with E<sub>x</sub> the energy of the excited state → application of the Geiger-Nutall rule → a smaller Q value implies a large mean lifetime → a small transition probability → a small intensity in the decay branch
- These 2 reasons implies a ↘ of the probability transition when the final state is not the ground state

#### Angular momentum and parity (5)



The 3<sup>+</sup> state is forbidden by the parity selection rule  $\rightarrow 0 \rightarrow 3$ decay must have  $\ell_{\alpha} = 3 \rightarrow$  the parity has to change

#### Angular momentum and parity (6)

