

# Chapter VI: Alpha decay

# Summary

1. General principles
2. Energy and momentum conservations
3. Theory of  $\alpha$  emission
4. Angular momentum and parity

# General principles (1)

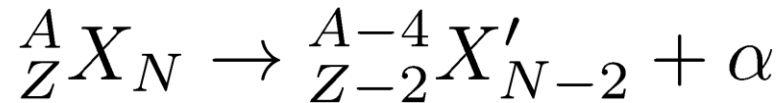
- The nucleus emit an  $\alpha$  particle i.e. a nucleus of helium:  ${}^4_2\text{He}_2$
- Alpha emission is a Coulomb repulsion effect  $\rightarrow$  becomes important for heavy nuclei (Coulomb repulsion in heavy nuclei due to the larger number of protons present)  $\rightarrow$  Bethe-Weizsäcker formula  $\rightarrow$  Coulomb force  $\nearrow$  with size at a faster rate (in  $\sim Z^2$ ) than does the specific nuclear binding force (in  $\sim A$ )
- The  $\alpha$  emission is particularly favored (compared to other particles) due to
  - Its very stable and tightly bound structure
  - Its small mass
  - Its small charge
- Theoretically some heavier particles as  ${}^8\text{Be}$  or  ${}^{12}\text{C}$  may be emitted or the fission into equal daughter nuclides may happen  $\rightarrow$  but very penalized

## General principles (2)

- For a nucleus to be an  $\alpha$  emitter  $\rightarrow$  not enough for the  $\alpha$  decay to be energetically possible  $\rightarrow$  the disintegration constant must also not be too small  $\rightarrow$  the  $\alpha$  emission would occur so rarely that it may never be detected ( $T_{1/2} < 10^{16}$  years)
- Moreover if  $\beta$  decay is present  $\rightarrow$  can mask the  $\alpha$  decay
- Most nuclei with  $A > 190$  and many with  $150 < A < 190$  are energetically possible emitters but  $\frac{1}{2}$  of them effectively meet the 2 other conditions

## Energy conservation (1)

- The  $\alpha$  emission process (between ground state levels) is:



- Rutherford shows in 1908 that the  $\alpha$  particle is a nucleus of  ${}^4\text{He}$   
→ constituted of 2 protons and 2 neutrons
- Energy conservation with the initial decaying nucleus X at rest →

$$m_X c^2 = m_{X'} c^2 + T_{X'} + m_\alpha c^2 + T_\alpha$$

- Due to the linear momentum conservation → X' and  $\alpha$  are in motion → T is the kinetic energy
- Equivalently we write →

$$T_{X'} + T_\alpha = (m_X - m_{X'} - m_\alpha) c^2$$

## Energy conservation (2)

- $Q = (m_X - m_{X'} - m_\alpha)c^2 =$  the net energy released in the decay  $\rightarrow$  the decay occurs spontaneously only if  $Q > 0$
- $Q$  can be calculated from atomic masses (even we discuss about nuclear processes) because the electron masses cancel in the subtraction
- For a typical  $\alpha$  emitter (232-U)  $\rightarrow Q$  may be calculated from the known masses for various emitted particles:

Emitted Particle	Energy Release (MeV)	Emitted Particle	Energy Release (MeV)
n	-7.26	$^4\text{He}$	+5.41
$^1\text{H}$	-6.12	$^3\text{He}$	-2.59
$^2\text{H}$	-10.70	$^6\text{He}$	-6.19
$^3\text{H}$	-10.24	$^6\text{Li}$	-3.79
$^3\text{He}$	-9.92	$^7\text{Li}$	-1.94

## Energy conservation (3)

- Only  $\alpha$  emission is possible in this case  $\rightarrow \alpha$  is very stable  $\rightarrow \alpha$  has a relatively small mass compared with the mass of its separate constituents
- The  $Q$  value is also the total kinetic energy given to the decay fragments  $Q = T_{X'} + T_{\alpha}$
- For  $Q > 0 \rightarrow$  we find back the condition  $m_X > m_{X'} + m_{\alpha}$  of instability in particles
- Remark:  $\alpha$  disintegration towards excited levels of  $X'$  are possible  $\rightarrow$  the excitation energy of the nucleus  $X'$  has to be subtracted from  $Q$

## Linear momentum conservation

- As the original nucleus is at rest  $\rightarrow X'$  and  $\alpha$  move with equal and opposite momenta  $\rightarrow p_\alpha = p_{X'}$
- As the  $\alpha$  decay released typically 5 MeV  $\rightarrow$  we can use nonrelativistic kinematics  $\rightarrow m_\alpha T_\alpha = m_{X'} T_{X'} \rightarrow$

$$T_\alpha = \frac{Q}{1 + m_\alpha/m_{X'}}$$

- As  $X'$  is a heavy nucleus  $\rightarrow A \gg 4 \rightarrow$

$$T_\alpha = Q(1 - 4/A), \quad T_{X'} = 4Q/A$$

- Typically the  $\alpha$  carries 98% of the  $Q$  energy and  $X'$  carries 2% (recoil energy) corresponding for  $\alpha = 5$  MeV to  $T_{X'} = 100$  keV



## Released energy (1)

- Introducing the binding energies the energy released during the  $\alpha$  decay may be written  $\rightarrow$

$$Q = B(4, 2) + B(A - 4, Z - 2) - B(A, Z)$$

- Thus  $Q > 0$  becomes  $\rightarrow$

$$\begin{aligned} B(4, 2) &> B(A, Z) - B(A - 4, Z - 2) \\ &= A \frac{B(A, Z)}{A} - (A - 4) \frac{B(A - 4, Z - 2)}{A - 4} \\ &= 4 \left( \frac{B(A, Z)}{A} \right)_m + (A - 2) \Delta \end{aligned}$$

- $(B/A)_m$  is the mean binding energy by nucleon of parent and daughter nuclei and  $\Delta$  is their difference ( $\approx 30$  keV for heavy nuclei)

## Released energy (2)

- As  $B(4,2) \approx 28 \text{ MeV} \rightarrow$  we have thus approximately  $\rightarrow$

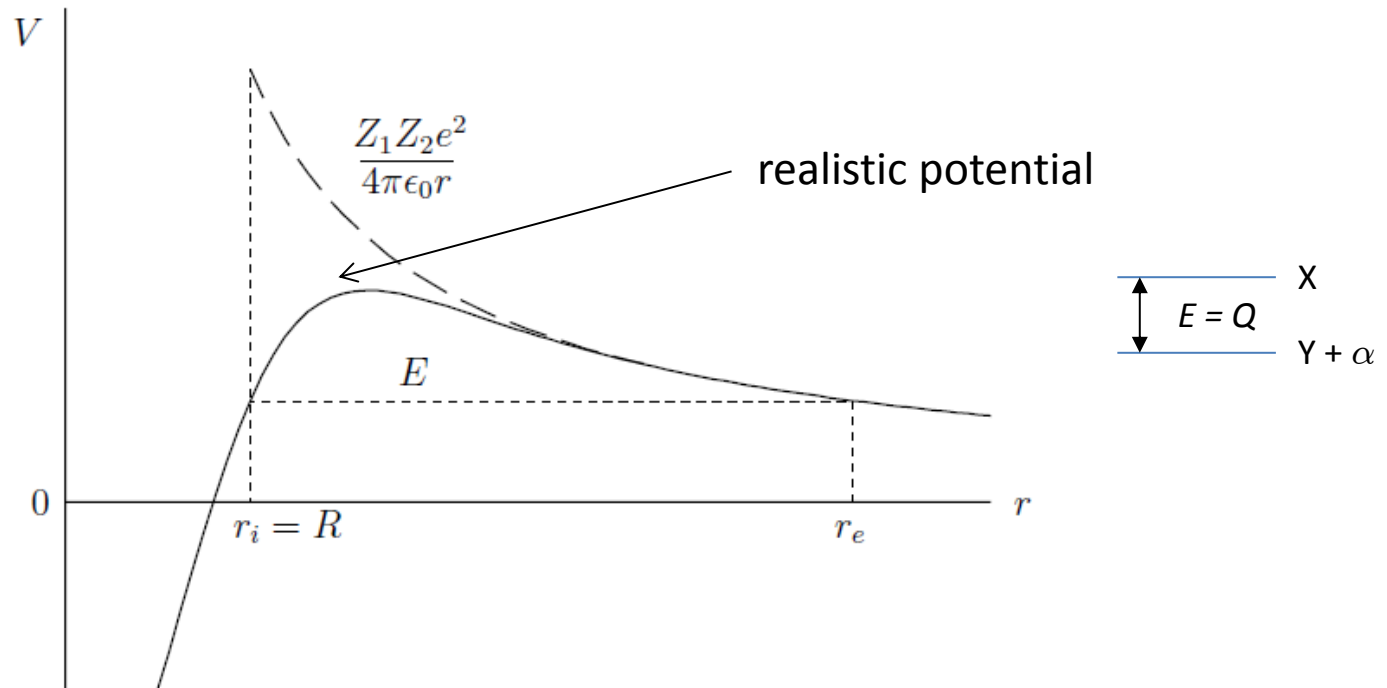
$$\left( \frac{B(A, Z)}{A} \right)_m < \frac{B(4, 2)}{4} \approx 7 \text{ MeV}$$

- Du to the term  $\Delta \rightarrow \alpha$  decay becomes frequent for nuclei with  $A > 200$  for which  $B/A$  is  $< 7.8 \text{ MeV}$
- This also explains why the  $\alpha$  emission is favored compared to other nuclei as deuteron ( $B(2,1)/2 \approx 1.11 \text{ MeV}$ ) or tritium ( $B(3,1)/3 \approx 2.83 \text{ MeV}$ )  $\rightarrow$  indeed the  $\alpha$  particle has tightly bound structure  $\rightarrow$  a pair of neutrons and a pair of protons inside a nucleus is favored to form an  $\alpha$ -cluster

# Theory of $\alpha$ emission (1)

- General features of  $\alpha$  emission theory have been developed by Gamow, Gurney and Condon in 1928
- The  $\alpha$  particle is assumed to move in a spherical region determined by the daughter nucleus  $\rightarrow$  one-body model
- The  $\alpha$  particle is preformed inside the parent nucleus  $\rightarrow$  there is no proof that it is well the case but it works quite well
- Deeply inside the heavy nucleus  $\rightarrow$  attractive nuclear force dominates the Coulomb repulsion force
- Outside the nucleus  $\rightarrow$  only remains the Coulomb force
- Between the 2 (close to the nucleus surface)  $\rightarrow$  number of neighbours  $\searrow \rightarrow$  nuclear attractive force  $\searrow \rightarrow$  equilibrium with repulsion force  $\rightarrow$  potential barrier
- To be emitted the  $\alpha$  particle has to cross this potential barrier by tunnel effect

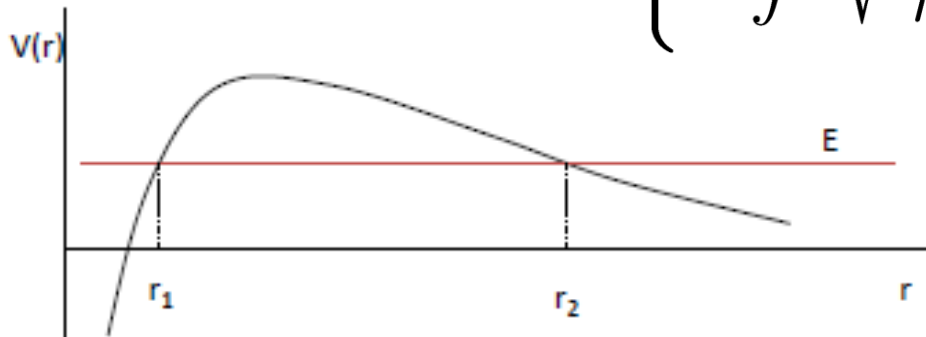
## Theory of $\alpha$ emission (2)



- The probability of disintegration per time unit  $W$  is supposed to be  $\propto$  to the probability of crossing the barrier  $\rightarrow W \propto |T|^2$  with  $T$  the transmission coefficient
- The transmission probability is given by the WKB approximation

# WKB approximation

- For decay between ground state levels (e.g.  $0^+$ )  $\rightarrow$  we have to resolve  $u''(r) - 2\mu/\hbar^2 (V(r) - E) u(r) = 0$  (with  $\mu \approx m_\alpha m_X / m_X$  the reduced mass of the  $\alpha$  nucleus and the daughter nucleus)
- By choosing  $u(r) = \exp(iS(r))$  with  $S(r)$  slowly varying  $\rightarrow$   
 $S(r)'^2 = 2\mu/\hbar^2 (E - V(r))$
- Si  $E < V(r) \rightarrow u(r) = \exp \left\{ - \int \sqrt{\frac{2\mu}{\hbar^2} (V(r) - E)} dr \right\}$



$G =$  Gamow factor

$$|T|^2 = \left| \frac{u(r_2)}{u(r_1)} \right|^2 = \exp \left\{ -2 \int_{r_1}^{r_2} \sqrt{\frac{2\mu}{\hbar^2} (V(r) - E)} dr \right\} = \exp(-2G)$$

## Theory of $\alpha$ emission (3)

- WKB approximation applied to  $\alpha$  emission  $\rightarrow r_1 = R$  (the radius of the nucleus),  $r_2 = 2(Z-2)e^2/4\pi\epsilon_0 E$ , with

$$V(r) = \begin{cases} 0 & r < R \\ 2(Z-2)e^2/4\pi\epsilon_0 r & r \geq R \end{cases}$$



$$|T|^2 = \exp \left\{ -2 \left( \frac{2\mu E}{\hbar^2} \right)^{1/2} \int_R^{r_2} \left( \frac{r_2}{r} - 1 \right)^{1/2} dr \right\}$$

- Transforming  $r = r_2 \cos^2 u \rightarrow$

$$\int_R^{r_2} \left( \frac{r_2}{r} - 1 \right)^{1/2} dr = r_2 \left[ \arccos \sqrt{\frac{R}{r_2}} - \sqrt{\frac{R}{r_2}} \sqrt{1 - \frac{R}{r_2}} \right]$$

## Theory of $\alpha$ emission (4)

- For a heavy nucleus emitting 5 MeV  $\alpha \rightarrow r_2 \approx 0.6 Z \text{ fm} > R \approx 1.25 A^{1/3} \text{ fm}$
- Assuming  $R/r_2 \approx 0 \rightarrow$  we obtain the Gamow approximation  $\rightarrow$

$$|T|^2 \approx \exp(-2\pi\eta)$$

where  $\eta$  the Sommerfeld factor is ( $\alpha = 1/137$ )

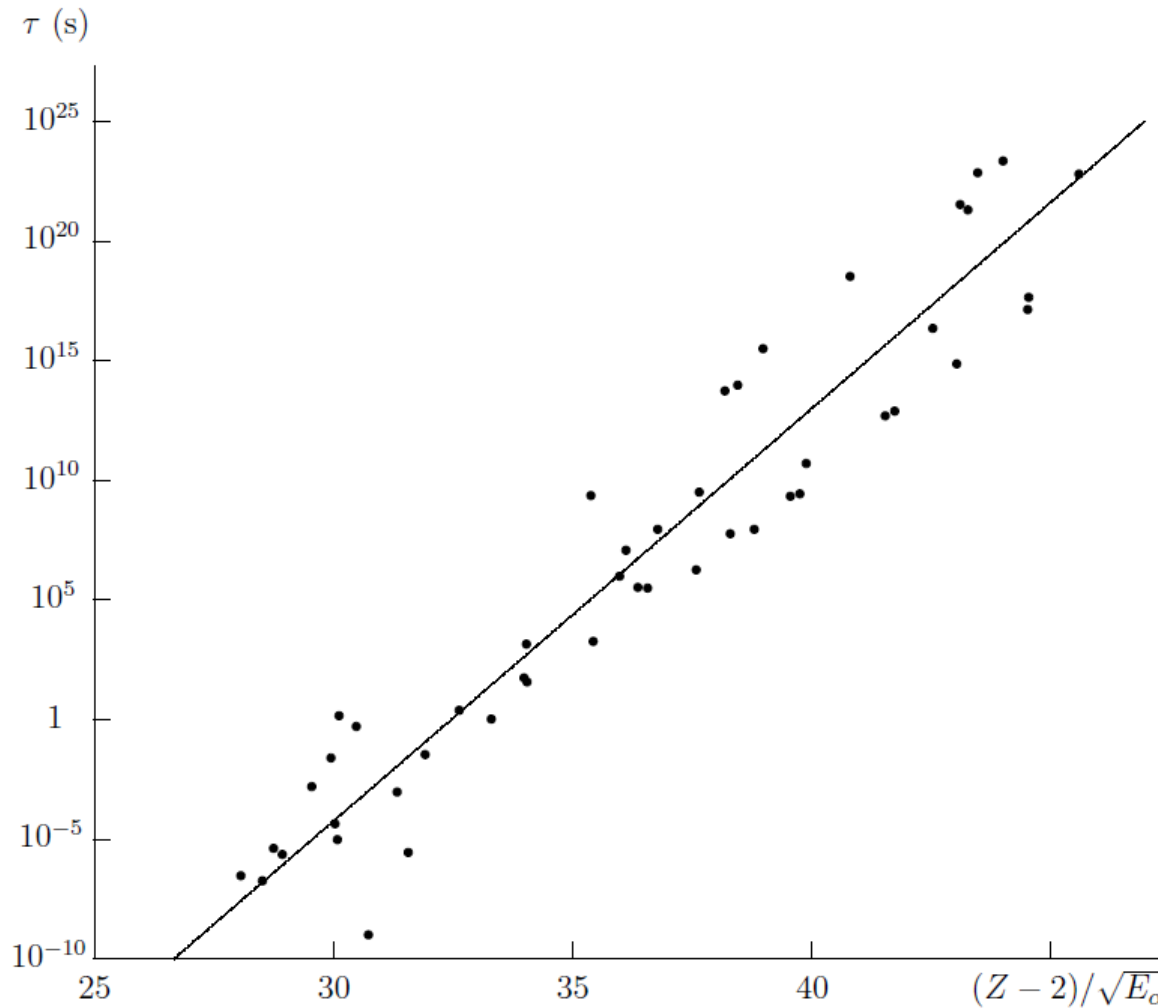
$$\eta = (Z - 2)\alpha \sqrt{\frac{2\mu c^2}{E}}$$

- The mean lifetime  $\tau = W^{-1}$  approximately follows  $\rightarrow$

$$\log_{10} \tau \approx C_1 + C_2 \frac{Z - 2}{\sqrt{E}}$$

$$\text{with } C_2 = 2\pi(\log_{10} e)\alpha \sqrt{2\mu c^2}$$

# Theory of $\alpha$ emission (5)



$E_\alpha$  is the kinetic energy of the  $\alpha$  particle in MeV

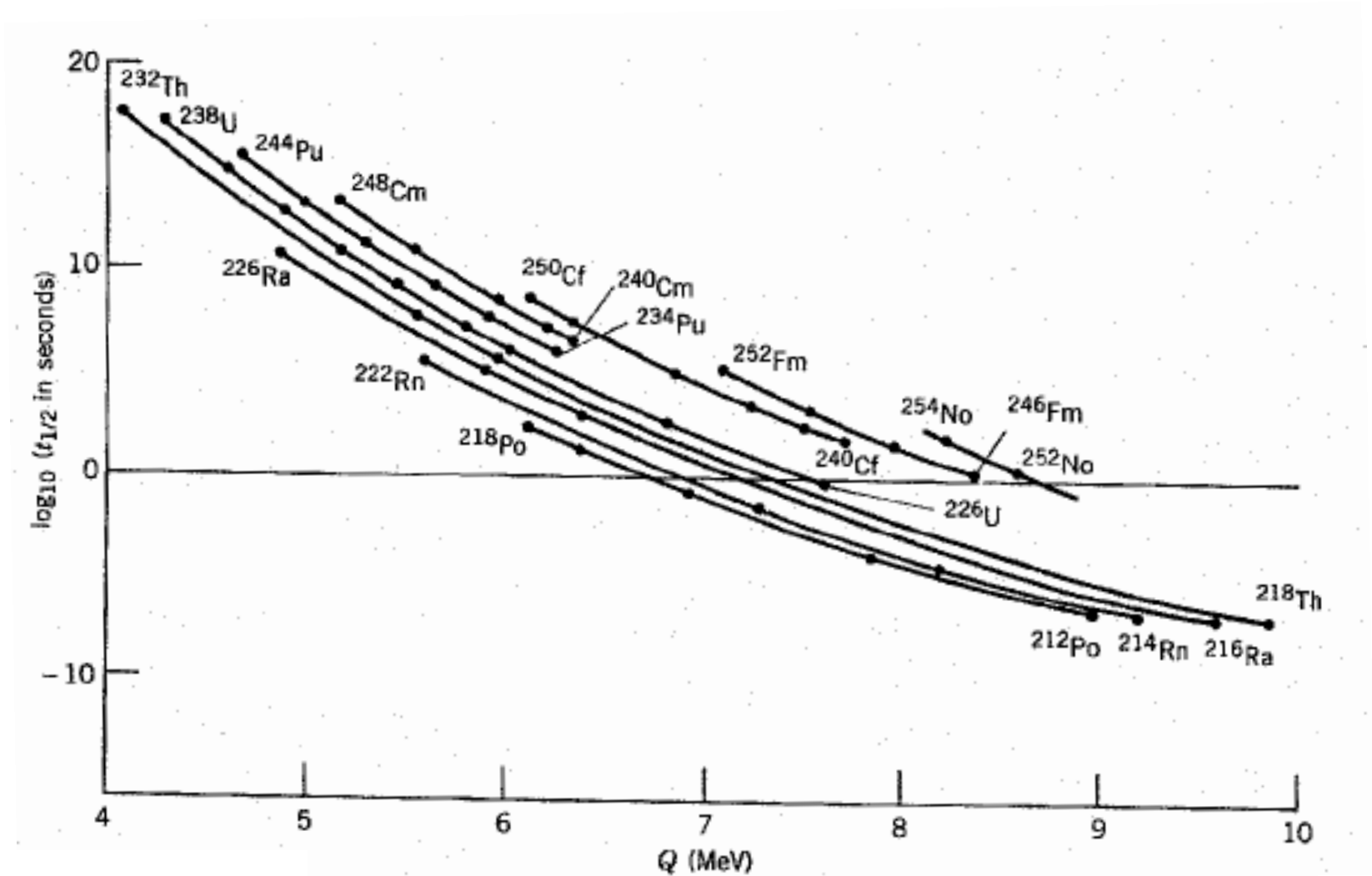


## Theory of $\alpha$ emission (6)

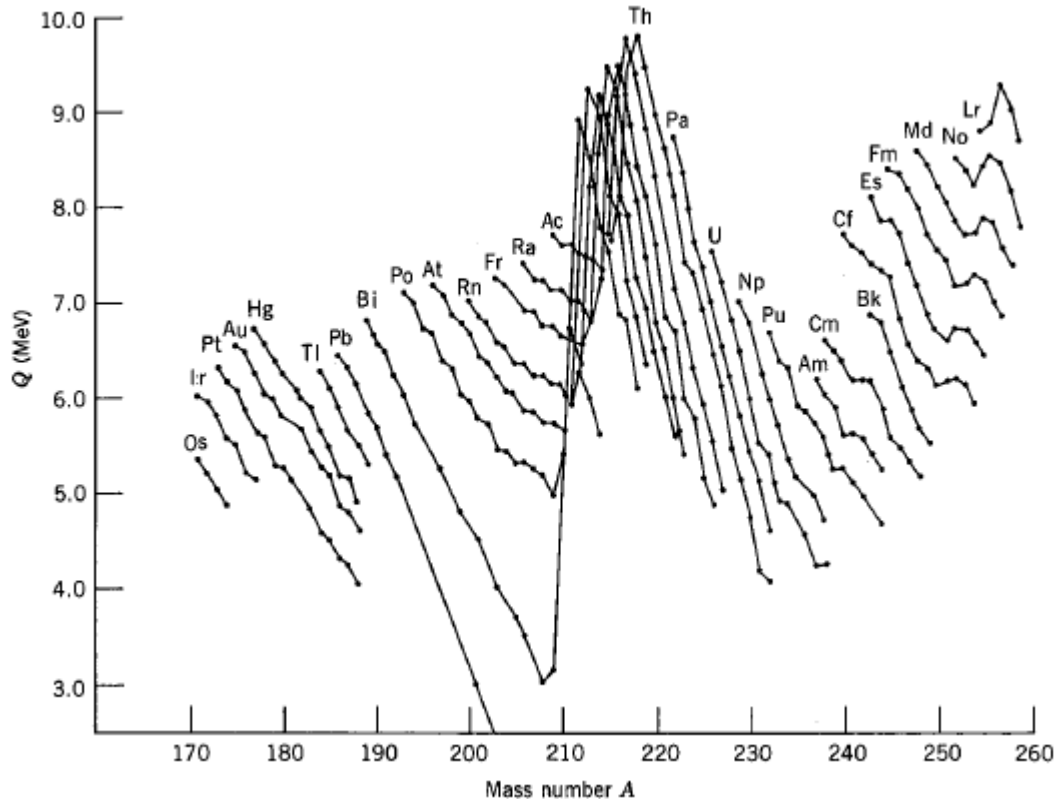
- The  $\alpha$  emitters with short mean lifetime have large disintegration energy (and conversely)  $\leftrightarrow$  observed in 1911: Geiger-Nuttall rule
- Examples:  $^{232}\text{Th}$ :  $T_{1/2} = 1.4 \times 10^{10}$  years,  $Q = 4.08$  MeV and  $^{218}\text{Th}$ :  $T_{1/2} = 1.0 \times 10^{-7}$  s,  $Q = 9.85$  MeV
- A factor 2 in energy implies a factor  $10^{24}$  in half-life
- Correct tendency for all isotopes but very good only for  $Z$  and  $N$  both even nuclei

$A$	$Q$ (MeV)	$t_{1/2}$ (s)	
		Measured	Calculated
220	8.95	$10^{-5}$	$3.3 \times 10^{-7}$
222	8.13	$2.8 \times 10^{-3}$	$6.3 \times 10^{-5}$
224	7.31	1.04	$3.3 \times 10^{-2}$
226	6.45	1854	$6.0 \times 10^1$
228	5.52	$6.0 \times 10^7$	$2.4 \times 10^8$
230	4.77	$2.5 \times 10^{12}$	$1.0 \times 10^{11}$
232	4.08	$4.4 \times 10^{17}$	$2.6 \times 10^{16}$

# Theory of $\alpha$ emission (7)



# Theory of $\alpha$ emission (8)



- When  $A > 212 \rightarrow$  adding neutrons to a nucleus reduces the disintegration energy  $\rightarrow$  due to the Geiger-Nuttall rule  $\rightarrow$  increase of the half-life  $\rightarrow$  the nucleus becomes more stable
- The discontinuity near  $A = 212$  occurs where  $N = 126 \rightarrow$  another example of nuclear shell structure.

## Theory of $\alpha$ emission (9)

- Geiger-Nuttall rule enables us to understand why other decays into light particles are not commonly seen (even though they are allowed by the  $Q$  value)
- Example 1: the decay  $^{220}\text{Th} \rightarrow ^{12}\text{C} + ^{208}\text{Po}$  would have  $Q = 32.1$  MeV  $\rightarrow T_{1/2} = 2.3 \times 10^6$  s =  $10^{13}$  longer than the  $\alpha$ -decay  $\rightarrow$  not easily be observable
- Example 2: Normally  $^{223}\text{Ra}$  decays by  $\alpha$  emission with  $T_{1/2} = 11.2$  days but another process has been discovered:  $^{223}\text{Ra} \rightarrow ^{14}\text{C} + ^{209}\text{Pb}$  with a very small probability  $\rightarrow \sim 10^{-9}$  relative to the  $\alpha$  decay  $\rightarrow$  extremely complicated measurement

## Theory of $\alpha$ emission (10)

- Geiger-Nuttall rule is able to reproduce  $T_{1/2}$  within 1-2 orders of magnitude over a range of more than 20 orders
- Approximations in previous calculations:
  1. Initial and final wave functions ( $\leftrightarrow$  Fermi Golden Rule) are not considered
  2. The nucleus is assumed to be spherical with  $R \approx 1.25 A^{1/3}$  fm  
 $\rightarrow$  heavy nuclei (specially with  $A \geq 230$ ) have strongly deformed shape
  3. The angular momentum carried by the  $\alpha$  particle is neglected

# Angular momentum and parity (1)

- In previous calculations  $\rightarrow$  transition between ground state levels (e.g.  $0^+$ )  $\rightarrow$  but an initial state can populate different final states in the daughter nucleus  $\rightarrow$  « fine structure » of  $\alpha$  decay
- In that case we have to consider the angular momentum  $J_i$  and  $J_f$  of the initial and final nuclear state  $\rightarrow$  and consequently the angular momentum of the  $\alpha$  particle  $\ell_\alpha$
- Consequently  $\rightarrow$   $\alpha$  decay must follow the laws of the conservation of angular momentum and of parity

## Angular momentum and parity (2)

- Definition of the total angular momentum  $\mathbf{J}$  for  $i$  nucleons:

$$\mathbf{J} = \sum_{i=1}^A (\mathbf{L}_i + \mathbf{S}_i)$$

with  $\mathbf{L}_i$  and the orbital angular momentum operator and  $\mathbf{S}_i$  the spin operator of the  $i^{\text{th}}$  nucleon

- In the particular case of  $\alpha$  decay  $\rightarrow$  this expression may be written (with  $\mathbf{I}_\alpha$  and  $\boldsymbol{\ell}_\alpha$  the spin and angular momentum of the  $\alpha$  particle and  $\mathbf{J}_i$  and  $\mathbf{J}_f$  written for initial and final nuclear states)  $\rightarrow$

$$\mathbf{J}_i = \mathbf{J}_f + \mathbf{I}_\alpha + \boldsymbol{\ell}_\alpha$$

- The  $\alpha$  particle wave function is then represented by a  $Y_{\ell m}$  with  $\ell = \ell_\alpha$

## Angular momentum and parity (3)

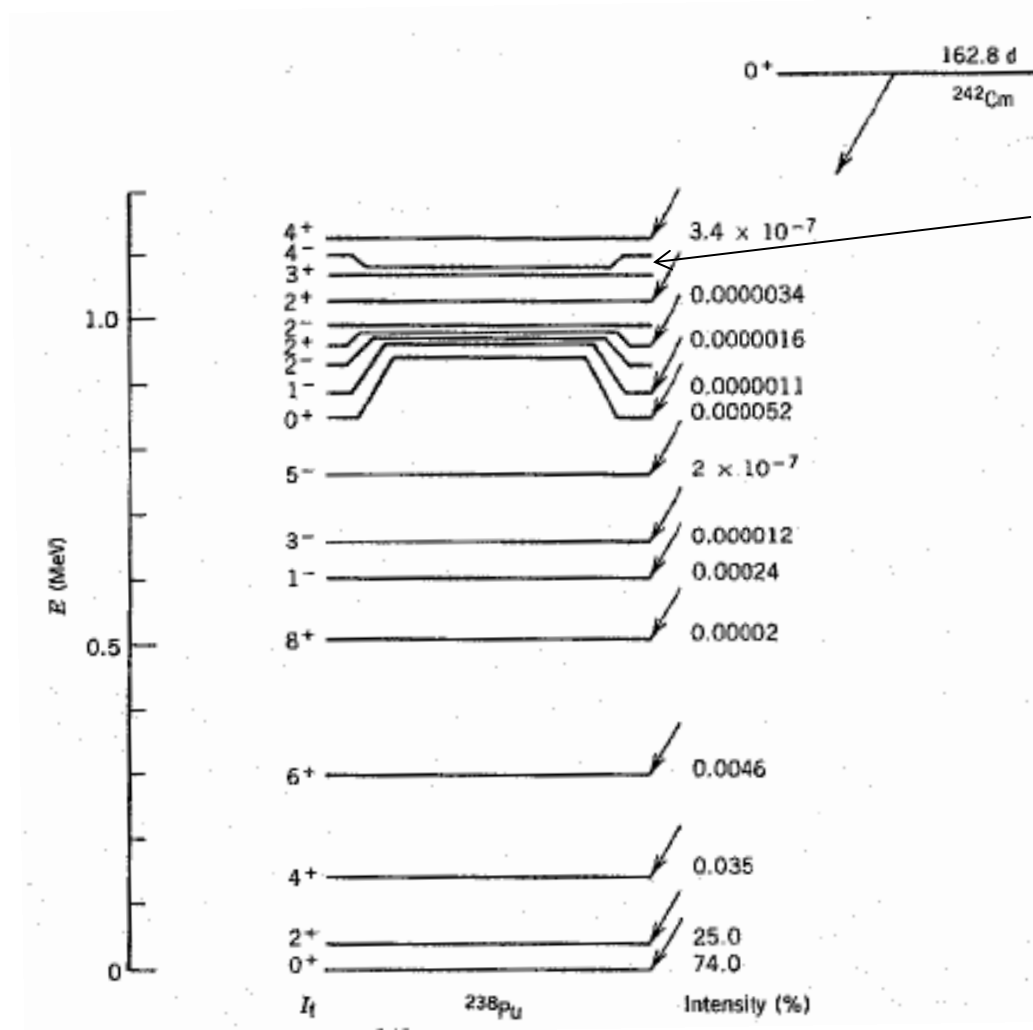
- As the  ${}^4\text{He}$  nucleus consists of 2 protons and 2 neutrons all in 1s state  $\rightarrow$  their spins coupled pairwise  $\rightarrow I_\alpha = 0$
- The composition of the 3 remaining angular momenta leads to  $\rightarrow$ 
$$|J_i - J_f| \leq \ell_\alpha \leq J_i + J_f$$
- The conservation of parity implies  $\rightarrow$ 
$$\pi_i = \pi_f \pi_\alpha (-1)^{\ell_\alpha}$$
- Moreover as the parity  $\pi_\alpha$  of  $\alpha$  particle is + (even-even nucleus)  $\rightarrow$  the parity conservation rule becomes  $\rightarrow$ 
$$(-1)^{\ell_\alpha} = \pi_i \pi_f$$
- If the initial and final parities are the same  $\rightarrow \ell_\alpha$  must be even  $\leftrightarrow$   
If the parities are different  $\rightarrow \ell_\alpha$  must be odd
- In particular for an initial state  $0^+$  (frequent case)  $\rightarrow \ell_\alpha = J_f$



## Angular momentum and parity (4)

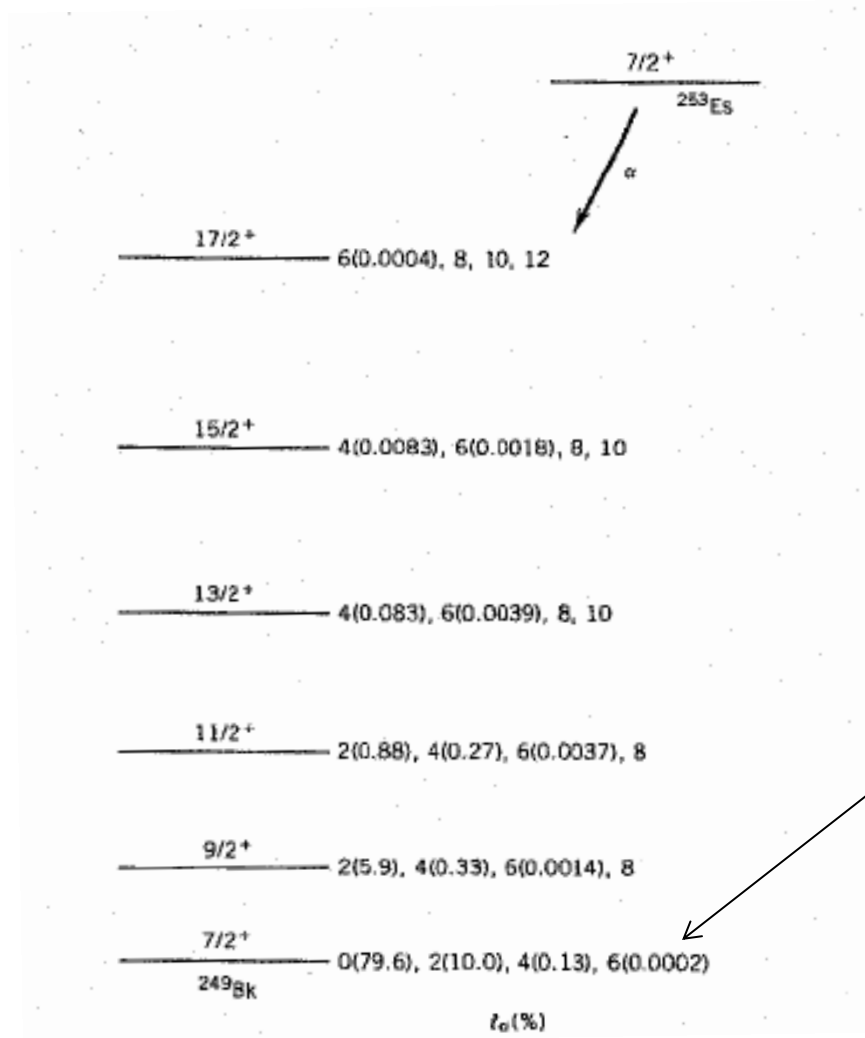
- Another consequence of the introduction of  $\ell_\alpha \rightarrow$  the barrier of potential is raised and becomes (particle in a well):
$$V(r) + \hbar^2 \ell_\alpha (\ell_\alpha + 1) / 2mr^2$$
- The additional term is always  $> 0 \rightarrow \nearrow$  of the barrier thickness  $\rightarrow$  the probability transition  $\searrow$
- Moreover the  $Q$  value  $\searrow$  when the final state is not the ground state:  $Q \rightarrow Q - E_x$  with  $E_x$  the energy of the excited state  $\rightarrow$  application of the Geiger-Nuttall rule  $\rightarrow$  a smaller  $Q$  value implies a large mean lifetime  $\rightarrow$  a small transition probability  $\rightarrow$  a small intensity in the decay branch
- These 2 reasons implies a  $\searrow$  of the probability transition when the final state is not the ground state

# Angular momentum and parity (5)



The  $3^+$  state is forbidden by the parity selection rule  $\rightarrow 0 \rightarrow 3$  decay must have  $\ell_\alpha = 3 \rightarrow$  the parity has to change

# Angular momentum and parity (6)



intensity