

# Chapter II: Quantum physics

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# Introduction (1)

- Quantum mechanics is a fundamental theory in physics → describes nature and explain properties of microscopic molecules, atoms and subatomic particles
- Small objects have characteristics of both particles and waves
- Work of Planck in 1900 (analyzing blackbody radiation) and Einstein in 1905 (analyzing the photoelectric effect) → light energy is delivered not smoothly and continuously as a wave but instead in concentrated bundles or « quanta »
- In 1924 de Broglie postulated that associated with a « particle » moving with momentum  $p$  is a « wave » of wavelength  $\lambda = h/p$  ( $h$  = Planck's constant) →  $\lambda$  = de Broglie wavelength

## Introduction (2)

- Probabilistic character of quantum mechanics → results of measurements are given as a collection of possibilities → each of them associated to a given probability
- The goal of quantum physics is to explain and foresee the evolution in time of a physical system (collection of particles)
- Principles of quantum mechanics are expressed in a series of postulates
- Remarks : in general cases → kinetic energy of particles is much smaller than rest energy → nonrelativistic quantum mechanics

# Postulates (1)

## First postulate:

At each instant the state of a physical system is represented by a ket  $|\psi\rangle$  in the space of states

## Comments:

- The space of states is a vector space
- The space of states includes the concept of inner product  $\rightarrow$  the inner product associates a complex number to any two states  $\rightarrow$

$$(|\psi\rangle, |\phi\rangle) \equiv \langle\psi|\phi\rangle = \int dx \psi^*(x) \phi(x)$$

- A function  $f(x)$  can be evaluated in the  $|\psi\rangle$  system  $\rightarrow$  expectation value of  $f \rightarrow$

$$\langle\psi|f|\psi\rangle = \int dx \psi^*(x) f(x) \psi(x)$$

## Postulates (2)

### Second postulate:

Every observable attribute of a physical system is described by an operator that acts on the kets that describe the system

### Comments:

- By convention an operator  $A$  acting on a ket  $|\psi\rangle$  is denoted by left multiplication  $\rightarrow$

$$A : |\psi\rangle \rightarrow |\psi'\rangle = A |\psi\rangle$$

- In the context of wave-mechanics  $\rightarrow$  state is replaced by wavefunction  $\psi(x)$   $\rightarrow$  example of momentum operator (1D)  $p = -i\hbar d/dx \rightarrow$

$$p |\psi\rangle = p\psi(x) = -i\hbar \frac{d\psi(x)}{dx}$$

## Postulates (3)

### Third postulate:

The only possible result of the measurement of an observable  $A$  is one of the eigenvalues of the corresponding operator  $A$

### Comments:

- Origin of the word « quantum »
- For every operator  $\rightarrow$  there are special states that are not changed by the action of an operator (except for being multiplied by a constant)  $\rightarrow$  they are the eigenstates and the constant numbers are the eigenvalues of the operator:

$$A |\psi_a\rangle = a |\psi_a\rangle$$

## Postulates (4)

### Fourth postulate:

When a measurement of an observable  $A$  is made on a state  $|\psi\rangle$  the probability of obtaining an eigenvalue  $a_n$  is given by the square of the inner product of  $|\psi\rangle$  with the eigenstate  $|a_n\rangle$

$$\rightarrow |\langle a_n | \psi \rangle|^2$$

### Comments:

- The states are (normally) assumed to be normalized to unity  $\rightarrow |\langle \psi | \psi \rangle| = 1$  and  $|\langle a_j | a_k \rangle| = \delta_{jk}$
- The complex number  $|\langle a_n | \psi \rangle|$  is known as the « probability amplitude » or « amplitude » to measure  $a_n$  as the value for  $A$  in the state  $|\psi\rangle$



## Postulates (5)

### Fifth postulate:

Immediately after the measurement of an observable  $A$  has yielded a value  $a_n$ , the state of the system is the normalized eigenstate  $|a_n\rangle$

### Comments:

- This is known as the « collapse of the wavepacket »

## Postulates (6)

### Sixth postulate:

The state  $|\psi(t)\rangle$  of each non-relativistic quantum system is a solution of the Schrödinger equation depending on the time  $\rightarrow$

$$i\hbar \frac{d}{dt} |\psi(t, \mathbf{r})\rangle = H |\psi(t, \mathbf{r})\rangle$$

### Comments:

- $H$  is the Hamiltonian operator  $\rightarrow$  it represents the total energy of the particle of mass  $m$  in the potential field  $V \rightarrow$  time-independent or stationary Schrödinger equation  $\rightarrow$

$$H\psi(\mathbf{r}) = E\psi(\mathbf{r}) \quad \text{with}$$

$$H = -\frac{\hbar^2}{2m} \Delta \Psi + V(\mathbf{r})$$

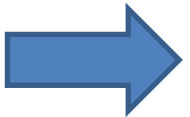
# Problems in one dimension: Free particle (1)

- No forces  $\rightarrow V(x) = 0 \rightarrow$

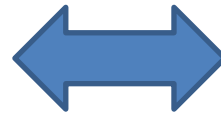
$$H\psi(x) = E\psi(x)$$



$$\frac{d^2\psi}{dx^2} = -\frac{2mE}{\hbar^2}\psi$$



$$\psi(x) = Ae^{ikx} + Be^{-ikx}$$



$$\psi(x) = C \sin kx + D \cos kx$$

$$k^2 = \frac{2mE}{\hbar^2}$$

$A, B, C$  and  $D$  are constants

- First term exp  $\rightarrow$  wave travelling in the positive  $x$  direction
- Second term exp  $\rightarrow$  wave travelling in the negative  $x$  direction

## Problems in one dimension: Free particle (2)

- Intensities of the waves are given by  $|A|^2$  and  $|B|^2$
- No boundary conditions  $\rightarrow$  no restrictions on the energy  $E \rightarrow$  all values of  $E$  give solutions to the equation
- No convergence at  $+\infty$  or  $-\infty \rightarrow$  normalization condition cannot be applied in this case
- Different normalization system  $\rightarrow$  source such as an accelerator located at  $x = -\infty$  emitting particles at a rate  $I$  (particles  $s^{-1}$ ) with momentum  $p = \hbar k$  in the positive  $x$  direction  $\rightarrow$  particles traveling in the positive  $x$  direction  $\rightarrow B = 0 \rightarrow$  particle current  $j = (\hbar k/m) |A|^2$

## Problems in one dimension: Step potential $E > V_0$ (1)

$$\begin{aligned} V(x) &= 0 & x < 0, \text{ region 1} \\ &= V_0 & x > 0, \text{ region 2} \end{aligned} \quad \text{with } V_0 > 0$$

- For  $x < 0 \rightarrow$  as free particle with  $k = k_1 = (2mE/\hbar)^{1/2}$
- For  $x > 0 \rightarrow$

$$\psi_2(x) = Ce^{ik_2x} + De^{-ik_2x} \quad k_2^2 = \frac{2m(E - V_0)}{\hbar^2}$$

- Boundary conditions give  $\rightarrow A+B = C+D$  and  $k_1(A-B) = k_2(C-D)$
- Particle comes from source at  $x = -\infty \rightarrow A$  term represents the incident wave /  $B$  term is the reflected wave /  $C$  term is the transmitted wave /  $D = 0$  (no possibility of reflection after the step)

## Problems in one dimension: Step potential $E > V_0$ (2)

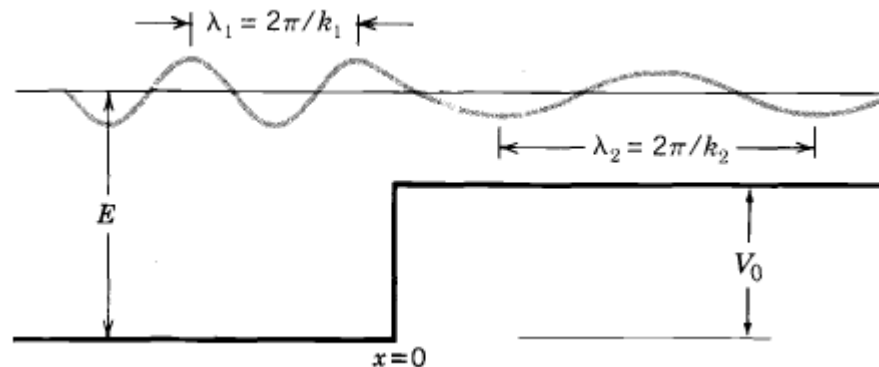
- Reflection coefficient or probability  $\rightarrow$

$$R = \frac{|B|^2}{|A|^2} = \left( \frac{1 - k_2/k_1}{1 + k_2/k_1} \right)^2$$

- Transmission coefficient

$$T = \frac{k_2 |C|^2}{k_1 |A|^2} = \frac{4k_2/k_1}{(1 + k_2/k_1)^2}$$

- Application in nucleon-nucleon scattering problems

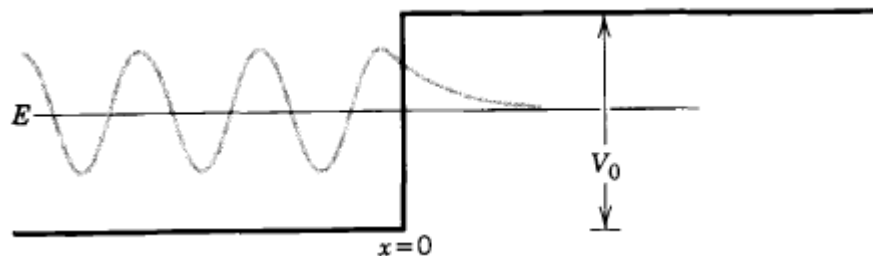


# Problems in one dimension: Step potential $E < V_0$

- In region 2  $\rightarrow$

$$\psi_2(x) = Ce^{k_2x} + De^{-k_2x} \qquad k_2^2 = \frac{2m(V_0 - E)}{\hbar^2}$$

- As first term  $\rightarrow \infty$  for  $x \rightarrow \infty \rightarrow C = 0$
- Important difference compared to classical mechanics  $\rightarrow$  All classical particles are reflected at the boundary  $\leftrightarrow$  the quantum mechanical wave packet can penetrate a short distance into the forbidden region



## Problems in one dimension: Barrier potential $E > V_0$ (1)

$$\begin{aligned}V(x) &= 0 & x < 0, \text{ region 1} \\ &= V_0 & 0 \leq x \leq a, \text{ region 2} \\ &= 0 & x > a, \text{ region 3}\end{aligned}$$

Solutions in regions 1, 2 and 3  $\rightarrow$

$$\psi_1(x) = Ae^{ik_1x} + Be^{-ik_1x}$$

$$\psi_2(x) = Ce^{ik_2x} + De^{-ik_2x}$$

$$\psi_3(x) = Fe^{ik_3x} + Ge^{-ik_3x}$$

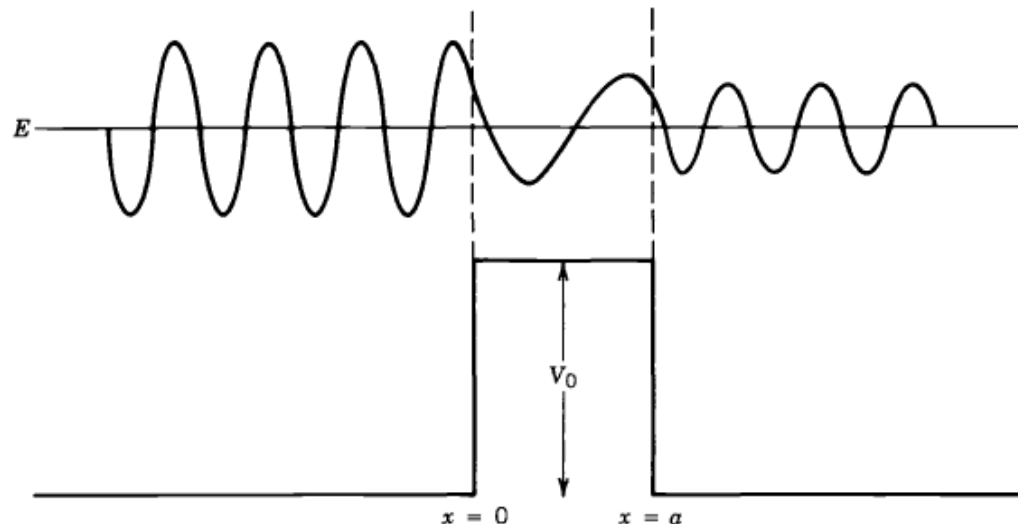
$$k_1^2 = k_3^2 = \frac{2mE}{\hbar^2} \qquad k_2^2 = \frac{2m(E - V_0)}{\hbar^2}$$



## Problems in one dimension: Barrier potential $E > V_0$ (2)

- We use continuity conditions at  $x = 0$  and at  $x = a$
- We assume particles coming from  $x = -\infty \rightarrow G = 0$
- After calculations  $\rightarrow$  transmission coefficient becomes

$$T = \left( 1 + \frac{1}{4} \frac{V_0^2}{E(E - V_0)} \sin^2 k_2 a \right)^{-1}$$



## Problems in one dimension: Barrier potential $E < V_0$ (1)

- Expressions in region 1 and 3 are identical and in region 2  $\rightarrow$

$$\psi_2(x) = Ce^{k_2x} + De^{-k_2x} \quad k_2^2 = \frac{2m(V_0 - E)}{\hbar^2}$$

- As  $x$  varies between 0 and  $a$  in region 2  $\rightarrow C$  and  $D \neq 0$
- After calculations  $\rightarrow$  transmission coefficient becomes

$$T = \left( 1 + \frac{1}{4} \frac{V_0^2}{E(V_0 - E)} \sinh^2 k_2 a \right)^{-1}$$

- Classically  $T = 0 \rightarrow$  the particle is not permitted to enter the forbidden region (negative kinetic energy)  $\leftrightarrow$  the quantum wave can penetrate the barrier  $\rightarrow$  nonzero probability to find the particle beyond the barrier.

## Problems in one dimension: Barrier potential $E > V_0$ (2)

- This phenomenon is called barrier penetration or quantum mechanical tunneling or tunnel effect
- Important applications in nuclear physics  $\rightarrow$   $\alpha$  decay and fission

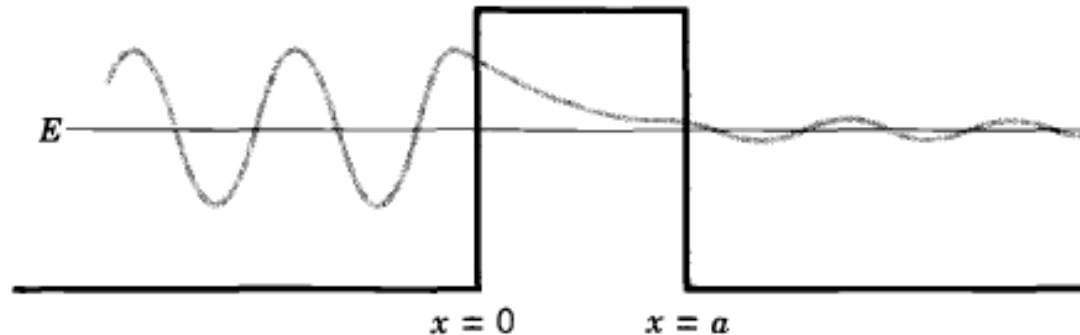


Image taken from: K.S. Krane,  
*Introductory Nuclear Physics*,  
Wiley, Oboken, 1988

# 1D problem: Infinite well (1)

$$\begin{aligned} V(x) &= \infty & x < 0, x > a \\ &= 0 & 0 \leq x \leq a \end{aligned}$$

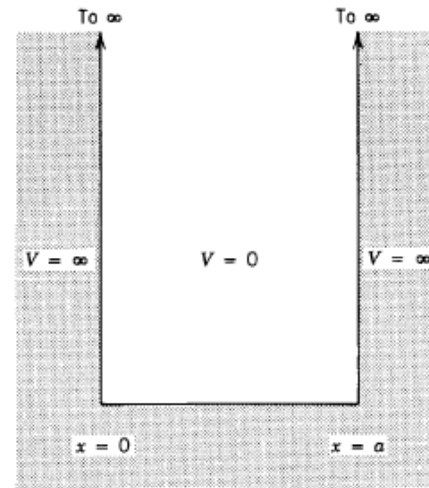
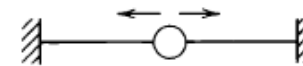


Image taken from: K.S. Krane, *Introductory Nuclear Physics*, Wiley, Oboken, 1988



- The walls are absolutely impenetrable → particle is trapped between  $x = 0$  and  $x = a$
- Inside the solution of the Schrödinger equation is →
$$\psi(x) = A \sin kx + B \cos kx$$
- Continuity condition at  $x = 0 \rightarrow \psi(0) = 0 \rightarrow$  true only for  $B = 0$
- At  $x = a \rightarrow$  the continuity condition gives →

$$A \sin kx = 0$$

## 1D problem: Infinite well (2)

- As  $A = 0$  is not acceptable  $\rightarrow \sin ka = 0$   
 $\rightarrow ka = n\pi$  for  $n = 1, 2, 3, \dots$
- Quantification condition on energy  $\rightarrow$

$$E_n = \frac{\hbar^2 k^2}{2m} = \frac{\hbar^2 \pi^2}{2ma^2} n^2$$

- Corresponding states  $\psi$  are bound states (potential confines particle in a certain region of space)  $\rightarrow$

$$\psi_n = \sqrt{\frac{2}{a}} \sin \frac{n\pi x}{a}$$

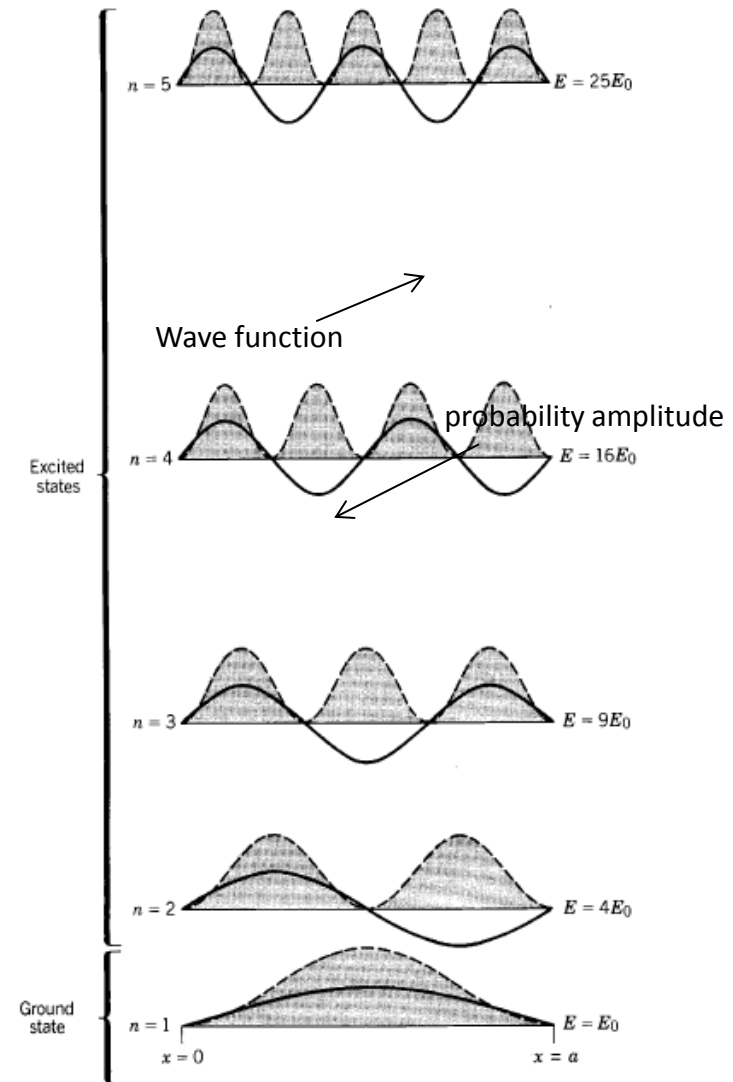


Image taken from: K.S. Krane,  
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## 1D problem: Finite well (1)

$$\begin{aligned} V(x) &= V_0 & |x| > a/2 \\ &= 0 & |x| < a/2 \end{aligned}$$

- Bound-state solutions (with  $E < V_0$ ) are

$$\psi_1(x) = Ae^{k_1x} + Be^{-k_1x} \quad \text{for } x < -a/2$$

$$\psi_2(x) = Ce^{ik_2x} + De^{-ik_2x} \quad \text{for } -a/2 \leq x \leq a/2$$

$$\psi_3(x) = Fe^{k_1x} + Ge^{-k_1x} \quad \text{for } x > a/2$$

$$k_1^2 = \frac{2m(V_0 - E)}{\hbar^2} \quad k_2^2 = \frac{2mE}{\hbar^2}$$

## 1D problem: Finite well (2)

- Wave function has to be finite in region 1  $\rightarrow$  for  $x = -\infty \rightarrow B = 0$
- Wave function has to be finite in region 3  $\rightarrow$  for  $x = \infty \rightarrow F = 0$
- Due to continuity at  $x = \pm a/2 \rightarrow$

$$k_2 \tan \frac{k_2 a}{2} = k_1 \quad \longleftrightarrow \quad -k_2 \cot \frac{k_2 a}{2} = k_1$$

- Eq. cannot be resolved directly  $\rightarrow$  numerical or graphical methods  $\rightarrow$  graphical solutions easiest with eq. in the form  $\rightarrow$

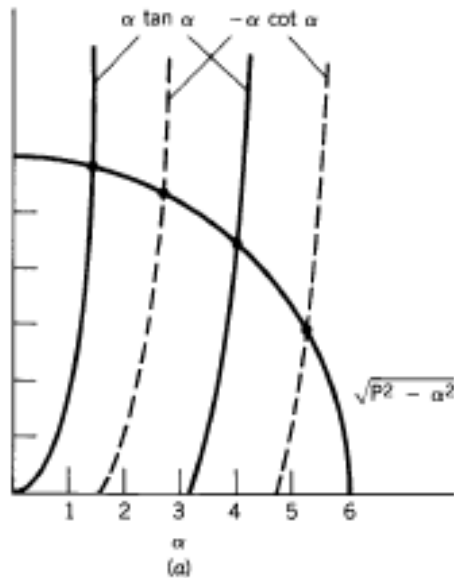
$$\alpha \tan \alpha = (P^2 - \alpha^2)^{1/2} \quad \longleftrightarrow \quad -\alpha \cot \alpha = (P^2 - \alpha^2)^{1/2}$$
$$\alpha = \frac{k_2 a}{2} \quad P = \left( \frac{m V_0 a^2}{2 \hbar^2} \right)^{1/2}$$

## 1D problem: Finite well (3)

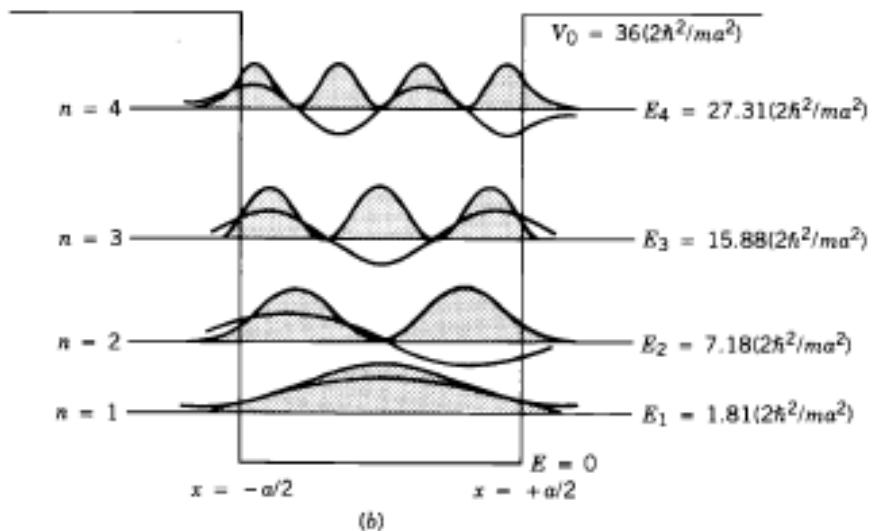
- Right side  $\rightarrow$  circle of radius  $P \leftrightarrow$  left side  $\rightarrow$  tangent function
- Solutions are given by the points where the circle intersects the tangent
- Therefore the number of solutions is determined by the radius  $P \rightarrow$  by the depth  $V_0$  of the well (for infinite well  $\rightarrow$  infinite number of bound states)
- For  $P < \pi/2$ : only one bound state  $\leftrightarrow$  for  $\pi/2 < P < \pi$ : two bound states
- Technique that allows to estimate the depth of the nuclear potential  $\rightarrow$  for deuteron: only one bound state



# 1D problem: Finite well (4)



Solutions for  $P = 6$  (as an example)  $\rightarrow$  4 solutions at  $\alpha = 1.345, 2.679, 3.985, 5.226$



Images taken from: K.S. Krane, *Introductory Nuclear Physics*, Wiley, Oboken, 1988

# 1D problem: Simple Harmonic Oscillator (1)

$$V(x) = \frac{1}{2}kx^2$$

- Any reasonably well-behaved potential can be expanded in a Taylor series about the point  $x_0 \rightarrow$

$$V(x) = V(x_0) + \left( \frac{dV}{dx} \right)_{x=x_0} (x - x_0) + \frac{1}{2} \left( \frac{d^2V}{dx^2} \right)_{x=x_0} (x - x_0)^2 + \dots$$

- If  $x_0$  is a potential minimum  $\rightarrow$  the second term = 0 and since the first term is a constant  $\rightarrow$  the interesting term is the third term
- Near its minimum the system behaves like a simple harmonic oscillator  $\rightarrow$  The study of the simple harmonic oscillator is important for a large number of systems

## 1D problem: Simple Harmonic Oscillator (2)

- To solve the Schrödinger equation  $\rightarrow$  change of  $\psi \rightarrow$

$$\psi(x) = h(x) \exp(-\alpha^2 x^2 / 2)$$

- $h(x)$  is a simple polynomial function in  $x$  and  $\alpha^2 = (km)^{1/2}/\hbar$
- The degree of the polynomial  $\rightarrow$  the highest power of  $x$  that appears is determined by the quantum number  $n$  that labels the energy states  $\rightarrow$

$$E_n = \hbar\omega_0 \left( n + \frac{1}{2} \right) \quad \text{with } n = 0, 1, 2, \dots$$

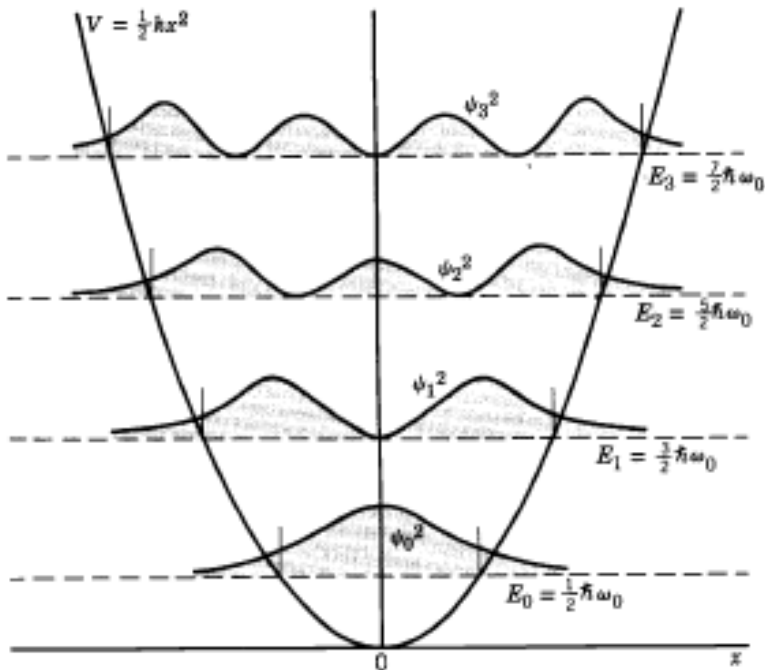
- where  $\omega_0 = (k/m)^{1/2}$  is the classical angular frequency of the oscillator

# 1D problem: Simple Harmonic Oscillator (3)

$n$	$E_n$	$\psi_n(x)$
0	$\frac{1}{2} \hbar \omega_0$	$\pi^{-1/4} e^{-\alpha^2 x^2/2}$
1	$\frac{3}{2} \hbar \omega_0$	$2^{-1/2} \pi^{-1/4} (2\alpha x) e^{-\alpha^2 x^2/2}$
2	$\frac{5}{2} \hbar \omega_0$	$2^{-3/2} \pi^{-1/4} (4\alpha^2 x^2 - 2) e^{-\alpha^2 x^2/2}$
3	$\frac{7}{2} \hbar \omega_0$	$(1/4\sqrt{3}) \pi^{1/4} (8\alpha^3 x^3 - 12\alpha x) e^{-\alpha^2 x^2/2}$
4	$\frac{9}{2} \hbar \omega_0$	$(1/8\sqrt{6}) \pi^{1/4} (16\alpha^4 x^4 - 48\alpha^2 x^2 + 12) e^{-\alpha^2 x^2/2}$

$E_n = \hbar \omega_0 (n + \frac{1}{2})$   
 $\psi_n(x) = (2^n n! \sqrt{\pi})^{-1/2} H_n(\alpha x) e^{-\alpha^2 x^2/2}$   
 where  $H_n(\alpha x)$  is a Hermite polynomial

Images taken from:  
 K.S. Krane,  
*Introductory Nuclear  
 Physics*, Wiley,  
 Oboken, 1988



- Results for the probabilities resemble those of finite well
- Energy levels are equally spaced
- Potential is infinitely deep  $\rightarrow$  number of bound states is infinite

## 3D problem: Infinite Cartesian Well (1)

$$\begin{aligned} V(x) &= \infty & x < 0, x > a, y < 0, y > a, z < 0, z > a \\ &= 0 & 0 \leq x \leq a, 0 \leq y \leq a, 0 \leq z \leq a \end{aligned}$$

- The particle is confined to a cubic box of dimension  $a$
- Inside the well  $\rightarrow$  the Schrödinger equation is

$$-\frac{\hbar^2}{2m} \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial^2 \psi}{\partial z^2} \right) = E\psi(x, y, z)$$

- With solutions  $\rightarrow$

$$\begin{aligned} \psi_{n_x, n_y, n_z}(x, y, z) &= \sqrt{\frac{8}{a^3}} \sin \frac{n_x \pi x}{a} \sin \frac{n_y \pi y}{a} \sin \frac{n_z \pi z}{a} \\ E_{n_x, n_y, n_z} &= \frac{\hbar^2 \pi^2}{2ma^2} (n_x^2 + n_y^2 + n_z^2) \end{aligned}$$

## 3D problem: Infinite Cartesian Well (2)

- $n_x, n_y, n_z$  are independent integers  $> 0$
- The lowest state (ground state) has quantum numbers  $(n_x, n_y, n_z) = (1,1,1)$
- The probability distribution has a maximum at the center of the box falling gradually to 0 at the walls like  $\sin^2$

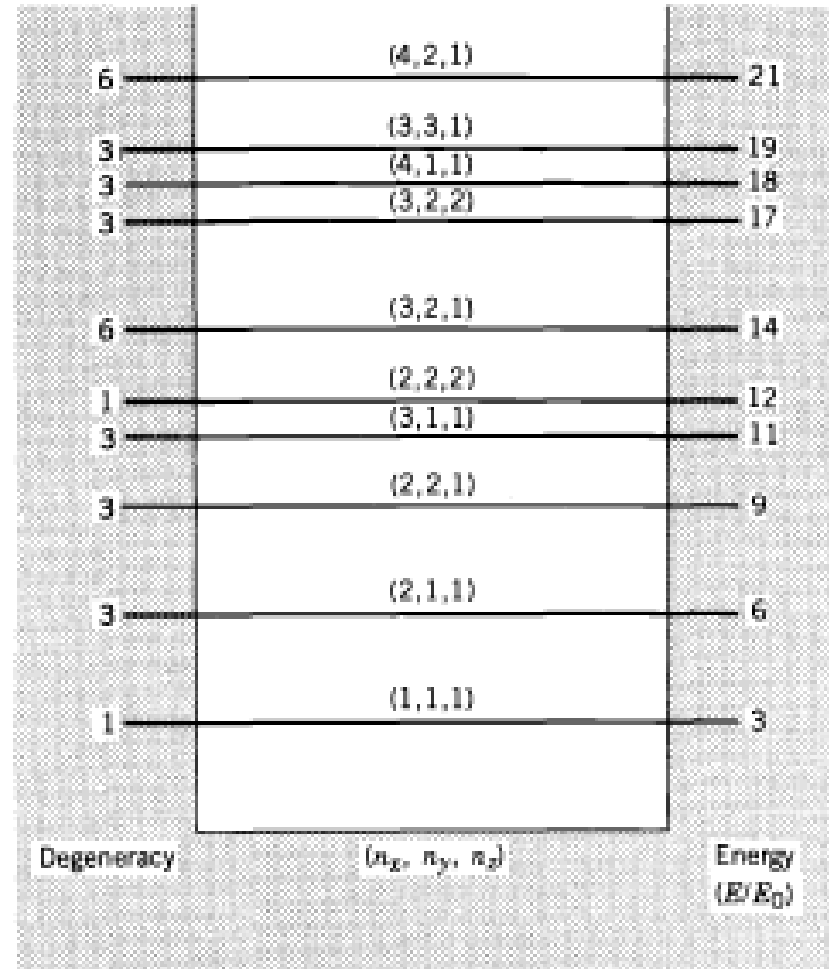


Image taken from: K.S. Krane,  
*Introductory Nuclear Physics*,  
 Wiley, Hoboken, 1988

## 3D problem: Infinite Cartesian Well (3)

- The first excited state has 3 possible sets of quantum numbers:  $(2,1,1)$ ,  $(1,2,1)$  and  $(1,1,2)$
- Each of these distinct and independent states has a different wave function  $\rightarrow$  a different probability density  $\rightarrow$  different expectation values of the physical observables
- **Attention**  $\rightarrow$  they have the same energy  $\rightarrow$  situation called degeneracy
- Degeneracy is extremely important for atomic structure  $\rightarrow$  how many electrons can be in each atomic subshell

## 3D problem: Infinite Spherical Well (1)

- In general  $\rightarrow$  use of spherical coordinates with potential depending only on  $r$  (not  $\theta$  or  $\phi$ )
- Separable solutions of the form  $\psi(r, \theta, \phi) = R(r)\Theta(\theta)\Phi(\phi)$
- The central potential  $V(r)$  appears only in the radial part of the equation  $R(r) \rightarrow$  the angular parts can be solved directly
- Differential equation for  $\Phi(\phi)$  (with  $m_l^2$  the separation constant)  $\rightarrow$

$$\frac{d^2\Phi}{d\phi^2} + m_l^2\Phi = 0$$

- The solution is

$$\Phi_{m_l}(\phi) = \frac{1}{\sqrt{2\pi}} \exp(im_l\phi)$$

- The  $m_l = 0, \pm 1, \pm 2, \dots$



## 3D problem: Infinite Spherical Well (2)

- The equation for  $\Theta(\theta) \rightarrow$

$$\frac{1}{\sin \theta} \frac{d}{d\theta} \left( \sin \theta \frac{d\Theta}{d\theta} \right) + \left[ l(l+1) - \frac{m_l^2}{\sin^2 \theta} \right] \Theta = 0$$

- Where  $l = 0, 1, 2, 3, \dots$  and  $m_l = 0, \pm 1, \pm 2, \dots$
- The solutions  $\Theta_{lm_l}(\theta)$  are expressed as a polynomial of degree  $l$  in  $\sin \theta$  or  $\cos \theta$
- Together and normalized,  $\Phi_{m_l}(\phi)$  and  $\Theta_{lm_l}(\theta)$  give the spherical harmonics  $Y_{lm_l}(\theta, \phi)$
- These functions give the angular part of the solution to the Schrödinger equation for any central potential  $V(r)$
- For example  $\rightarrow$  give the spatial properties of atomic orbitals responsible for molecular bonds

## 3D problem: Infinite Spherical Well (3)

$\ell$	$m_\ell$	$Y_{\ell m_\ell}(\theta, \phi) = \Theta_{\ell m_\ell}(\theta) \Phi_{m_\ell}(\phi)$
0	0	$(1/4\pi)^{1/2}$
1	0	$(3/4\pi)^{1/2} \cos \theta$
1	$\pm 1$	$\mp (3/8\pi)^{1/2} \sin \theta e^{\pm i\phi}$
2	0	$(5/16\pi)^{1/2} (3 \cos^2 \theta - 1)$
2	$\pm 1$	$\mp (15/8\pi)^{1/2} \sin \theta \cos \theta e^{\pm i\phi}$
2	$\pm 2$	$(15/32\pi)^{1/2} \sin^2 \theta e^{\pm 2i\phi}$

$$\Phi_{m_\ell}(\phi) = \frac{1}{\sqrt{2\pi}} e^{im_\ell\phi}$$

$$\Theta_{\ell m_\ell}(\theta) = \left[ \frac{2\ell + 1}{2} \frac{(\ell - m_\ell)!}{(\ell + m_\ell)!} \right]^{1/2} P_\ell^{m_\ell}(\cos \theta)$$

where  $P_\ell^{m_\ell}(\cos \theta)$  is the associated Legendre polynomial

## 3D problem: Infinite Spherical Well (4)

- For a given  $V(r) \rightarrow$  radial equation is

$$-\frac{\hbar^2}{2m} \left( \frac{d^2 R}{dr^2} + \frac{2}{r} \frac{dR}{dr} \right) + \left[ V(r) + \frac{l(l+1)\hbar^2}{2mr^2} \right] R = ER$$

- The  $l(l+1)$  term is an addition to the potential  $\rightarrow$  « centrifugal potential » acts like a potential that keeps the particle away from the origin when  $l > 0$
- Example of infinite spherical well  $\rightarrow$

$$\begin{aligned} V(x) &= \infty & r > a \\ &= 0 & r < a \end{aligned}$$

- Inside the well  $\rightarrow$  solution are expressed in terms of the spherical Bessel functions  $j_l(kr)$

## 3D problem: Infinite Spherical Well (5)

- Continuity condition at  $r = a$  to find the energy eigenvalues  $\rightarrow j_l(ka) = 0$
- Transcendental equation  $\rightarrow$  numerical solutions  $\rightarrow$  tables of the spherical Bessel functions to find the zeros for any given value of  $l$
- For example  $\rightarrow l = 0 \rightarrow$  from the tables  $j_0(x) = 0$  at  $x = 3.14, 6.28, 9.42, 12.57, \dots$
- For  $l = 1 \rightarrow$  first few zeros of  $j_1(x)$  at  $x = 4.49, 7.73, 10.90, 14.07$

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$$j_0(kr) = \frac{\sin kr}{kr}$$

$$j_1(kr) = \frac{\sin kr}{(kr)^2} - \frac{\cos kr}{kr}$$

$$j_2(kr) = \frac{3 \sin kr}{(kr)^3} - \frac{3 \cos kr}{(kr)^2} - \frac{\sin kr}{kr}$$

$$j_\ell(kr) \equiv \frac{(kr)^\ell}{1 \cdot 3 \cdot 5 \cdots (2\ell + 1)} \quad kr \rightarrow 0$$

$$j_\ell(kr) \equiv \frac{\sin(kr - \ell\pi/2)}{kr} \quad kr \rightarrow \infty$$

$$j_\ell(kr) = \left(-\frac{r}{k}\right)^\ell \left(\frac{1}{r} \frac{d}{dr}\right)^\ell j_0(kr)$$


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## 3D problem: Infinite Spherical Well (6)

- Since  $E = \hbar^2 k^2 / 2m \rightarrow$  solutions for the allowed values of  $E$  energies
- Repeating process for  $l = 2, l = 3, \dots \rightarrow$  construction of the spectrum of the energy states

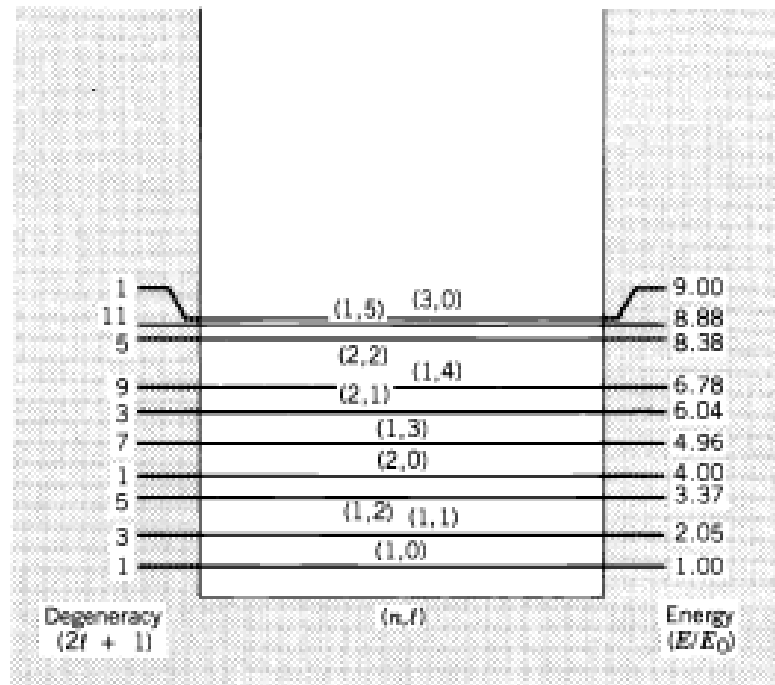


Image taken from: K.S. Krane,  
*Introductory Nuclear Physics*,  
 Wiley, Oboken, 1988

## 3D problem: Infinite Spherical Well (7)

- The levels are also degenerate  $\rightarrow$  since  $E$  depends only on  $l \rightarrow$  the wave functions with different  $m_l$  values all have the same energy
- Since  $m_l$  is restricted to the values  $0, \pm 1, \pm 2, \dots, \pm l \rightarrow$  there are exactly  $2l + 1$  possible  $Y_{lm_l}$  for a given  $l \rightarrow$  each level has a degeneracy of  $2l + 1$

## 3D problem: Simple Harmonic Oscillator (1)

- Central oscillator potential  $\rightarrow V(r)=1/2kr^2$
- For all central potentials  $\rightarrow$  the angular part of the solution to the Schrodinger equation is  $Y_{lm}(\theta, \phi) \rightarrow$  we have only to find the solution to the radial equation
- As in 1D  $\rightarrow$  the solution is the product of an exponential and a finite polynomial
- The energy levels are given by

$$E_n = \hbar\omega_0 \left( n + \frac{3}{2} \right) \quad \text{with } n = 0, 1, 2, \dots$$

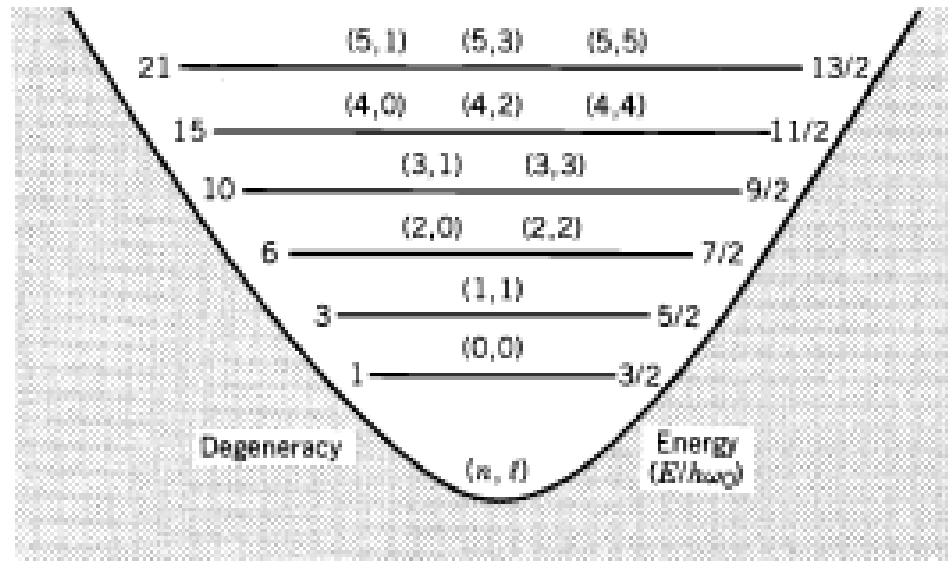
## 3D problem: Simple Harmonic Oscillator (2)

- No dependence on  $l$  for the energy but not all  $l$  values are permitted
- From the mathematical solution of the radial equation  $\rightarrow l$  can be at most equal to  $n$  and takes only even or only odd values as  $n$  is even or odd
- Example  $\rightarrow$  For  $n = 5$ , the permitted values of  $l$  are 1, 3, and 5
- Since  $E$  do not depend on  $m_l \rightarrow$  additional degeneracy of  $2l + 1$  for each  $l$
- For  $n = 5$  level has a degeneracy of  $[(2 * 1 + 1) + (2 * 3 + 1) + (2 * 5 + 1)] = 21$
- Degeneracy equals to  $1/2(n+1)(n+2)$



# 3D problem: Simple Harmonic Oscillator (3)

$n$	$\ell$	$E_n$	$R(r)$
0	0	$\frac{1}{2}\hbar\omega_0$	$(2\alpha^{3/2}/\pi^{1/4})e^{-\alpha^2 r^2/2}$
1	1	$\frac{3}{2}\hbar\omega_0$	$(2\alpha^{3/2}\sqrt{2}/\sqrt{3}\pi^{1/4})(\alpha r)e^{-\alpha^2 r^2/2}$
2	0	$\frac{5}{2}\hbar\omega_0$	$(2\alpha^{3/2}\sqrt{2}/\sqrt{3}\pi^{1/4})(\frac{3}{2} - \alpha^2 r^2)e^{-\alpha^2 r^2/2}$
2	2	$\frac{5}{2}\hbar\omega_0$	$(4\alpha^{3/2}/\sqrt{15}\pi^{1/4})(\alpha^2 r^2)e^{-\alpha^2 r^2/2}$
3	1	$\frac{7}{2}\hbar\omega_0$	$(4\alpha^{3/2}/\sqrt{15}\pi^{1/4})(\frac{3}{2}\alpha r - \alpha^3 r^3)e^{-\alpha^2 r^2/2}$
3	3	$\frac{7}{2}\hbar\omega_0$	$(4\alpha^{3/2}\sqrt{2}/\sqrt{105}\pi^{1/4})(\alpha^3 r^3)e^{-\alpha^2 r^2/2}$
4	0	$\frac{9}{2}\hbar\omega_0$	$(4\alpha^{3/2}\sqrt{2}/\sqrt{15}\pi^{1/4})(\frac{15}{8} - \frac{5}{2}\alpha^2 r^2 + \frac{1}{2}\alpha^4 r^4)e^{-\alpha^2 r^2/2}$
4	2	$\frac{9}{2}\hbar\omega_0$	$(4\alpha^{3/2}\sqrt{2}/\sqrt{105}\pi^{1/4})(\frac{7}{2}\alpha^2 r^2 - \alpha^4 r^4)e^{-\alpha^2 r^2/2}$
4	4	$\frac{9}{2}\hbar\omega_0$	$(8\alpha^{3/2}/3\sqrt{105}\pi^{1/4})\alpha^4 r^4 e^{-\alpha^2 r^2/2}$



Images taken from: K.S. Krane,  
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 Wiley, Oboken, 1988

# Commutator

- Mathematical definition of Commutator →

$$[A, B] = AB - BA$$

- This is equal to 0 if they commute and something else if they do not commute
- It is known that you cannot know the value of two physical values at the same time if they do not commute (Heisenberg's principle)
- If we can find a set of operators commuting with  $H$  → this set is complete in the sense that all energy eigenstates are uniquely labelled by the eigenvalues of the above operators
- A key property of central potential problems is that the angular momentum operators commute with the Hamiltonian

## (Orbital) Angular momentum (1)

- In solutions of the 3D Schrödinger equation → prominent role of the quantum number  $l$
- In atomic physics → label the different electron wave functions and give information about their spatial behaviour
- This angular momentum quantum number has the same function in all 3D problems involving central potentials  $V(r)$
- In classical physics →  $\mathbf{l} = \mathbf{r} \times \mathbf{p}$
- In quantum mechanics → components of  $\mathbf{p}$  have to be replaced by their equivalent operators:

$$p_i = -i\hbar \frac{\partial}{\partial x_i}$$

## (Orbital) Angular momentum (2)

- Result for which a central potential is considered  $\rightarrow$  having a wave function  $R(r)Y_{lm_l}(\theta, \phi) \rightarrow$  independent of the form of  $R(r)$
- It is simple to calculate  $l^2 \rightarrow$ 
$$\langle l^2 \rangle = \hbar l(l + 1)$$
- As in classical physics for central potentials  $\rightarrow$  the angular momentum is a constant of the motion
- The atomic substates with a given  $l$  value are labeled using spectroscopic notation  $\rightarrow$  same spectroscopic notation in nuclear physics: s for  $l = 0$ , p for  $l = 1$ , ...

$l$ value	0	1	2	3	4	5	6
Symbol	s	p	d	f	g	h	i

## (Orbital) Angular momentum (3)

- Direction of  $l \rightarrow$  barrier imposed by the uncertainty principle in quantum mechanics  $\rightarrow$  it is permitted to know exactly only one component of  $l$  at a time  $\rightarrow$  when one component is known the other two components are completely indeterminate
- By convention  $\rightarrow$  z component is chosen  $\rightarrow$

$$\langle l_z \rangle = \hbar m_l$$

with  $m_l = 0, \pm 1, \pm 2, \dots$

# Spin angular momentum

- Spin angular momentum = Intrinsic angular momentum = Spin
- Nucleons (like electrons) have spin quantum number  $s = \frac{1}{2}$
- Usual calculations for angular momenta  $\rightarrow$

$$\langle \mathbf{s}^2 \rangle = \hbar s(s + 1)$$

$$\langle s_z \rangle = \hbar m_s \quad (m_s = \pm \frac{1}{2})$$

- Useful to imagine the spin as a vector  $\mathbf{s}$  with possible z components =  $\pm \frac{1}{2}\hbar$

## Total angular momentum (1)

- The total angular momentum  $\mathbf{j}$  combines both the spin and orbital angular momentum of a particle or system  $\rightarrow$

$$\mathbf{j} = \mathbf{l} + \mathbf{s}$$

- Behaviour of total angular momentum  $\rightarrow$

$$\langle \mathbf{j}^2 \rangle = \hbar j(j + 1)$$

$$\langle j_z \rangle = \langle j l_z + s_z \rangle = \hbar m_j$$

- We have  $m_j = -j, -j + 1, \dots, j - 1, j$  ( $j$  is the total angular momentum quantum number)

## Total angular momentum (2)

- As  $m_s = \pm\frac{1}{2} \rightarrow m_j = m_l + m_s = m_l \pm \frac{1}{2}$
- $m_l$  is always an integer  $\rightarrow m_j$  is half-integral  $\rightarrow j$  is half-integral  $\rightarrow$

$$j = l + \frac{1}{2} \quad \text{or} \quad j = l - \frac{1}{2}$$

- The  $j$  value is noted as a subscript in spectroscopic notation
- For  $l = 1$  (p states)  $\rightarrow$  two possible  $j$  values:  $l + 1/2 = 3/2$  and  $l - 1/2 = 1/2$
- These states are written as  $p_{3/2}$  and  $p_{1/2}$



# General addition of angular momenta

- General rules for the addition of two angular momenta  $\mathbf{J}_1$  and  $\mathbf{J}_2 \rightarrow \mathbf{J} = \mathbf{J}_1 + \mathbf{J}_2$
- When  $j_1$  and  $j_2$  (corresponding quantum numbers) are “added” the maximal and minimal values of  $j$  are  $j_{max} = j_1 + j_2$  and  $j_{min} = |j_1 - j_2|$
- The allowed  $j$ -values in this interval are  $j = |j_1 - j_2|, |j_1 - j_2| + 1, \dots, j_1 + j_2$
- If both  $j_1$  and  $j_2$  are half-integral or if both are integers  $\rightarrow$  the possible quantum numbers  $j$  are integers  $\leftrightarrow$  in the opposite case the resulting  $j$ -values become half-integral
- Properties known as triangular inequality and noted  $\rightarrow$

$$|j_1 - j_2| \leq j \leq j_1 + j_2$$

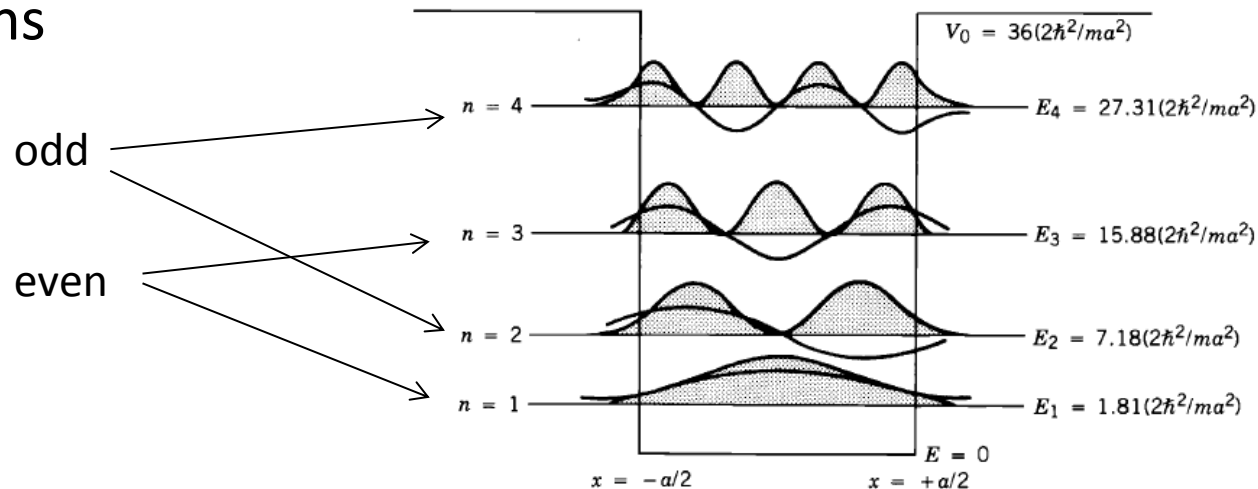
# Parity (1)

- The parity operation causes a reflection of all of the coordinates through the origin:  $\mathbf{r} \rightarrow -\mathbf{r}$
- In Cartesian coordinates  $\rightarrow x \rightarrow -x / y \rightarrow -y / z \rightarrow -z$
- In spherical coordinates  $\rightarrow r \rightarrow r / \theta \rightarrow \pi - \theta / \phi \rightarrow \phi + \pi$
- If a system is left unchanged by the parity operation  $\rightarrow$  none of the properties should change as a result of the reflection
- The values of the observable quantities depend on  $|\psi|^2 \rightarrow$

$$\text{If } V(\mathbf{r}) = V(-\mathbf{r}) \rightarrow |\psi(\mathbf{r})|^2 = |\psi(-\mathbf{r})|^2$$

## Parity (2)

- Consequence  $\rightarrow \psi(-\mathbf{r}) = \pm\psi(\mathbf{r})$
- In case  $\psi(-\mathbf{r}) = +\psi(\mathbf{r}) \rightarrow$  positive or even parity
- In case  $\psi(-\mathbf{r}) = -\psi(\mathbf{r}) \rightarrow$  negative or odd parity
- If the potential  $V(\mathbf{r})$  is unchanged by the parity operation  $\rightarrow$  the resulting wave functions must have either even or odd parity (mixed-parity wave functions are not permitted)
- For finite well  $\rightarrow$  potential symmetric with respect to the parity operation:  $V(x) = V(-x) \rightarrow$  even or odd parity for the solutions



## Parity (3)

- In 3D the parity operation applied to  $Y_{lm_l}(\theta, \phi)$  gives  $\rightarrow$

$$Y_{lm_l}(\pi - \theta, \phi + \pi) = (-1)^l Y_{lm_l}(\theta, \phi)$$

- Central potentials depend only on the magnitude of  $r$  are thus invariant with respect to parity  $\rightarrow$  their wave functions have odd parity if  $l$  is odd and even parity if  $l$  is even

## Parity (4)

- The wave function for a system of many particles = the product of the wave functions for the individual particles → the parity of the combined wave function is even if the combined wave function represents any number of even-parity particles or an even number of odd-parity particles ↔ it is odd if there is an odd number of odd-parity particles
- Thus nuclear states can be assigned a definite parity (odd or even) → indicated along with the total angular momentum for that state → example:

$$\frac{3}{2}^{+} \quad \text{or} \quad \frac{5}{2}^{-}$$

## Fermi's golden rule

- Fermi's golden rule is a formula that describes the transition rate (probability of transition per unit time) from one energy eigenstate of a quantum system into other energy eigenstates effected by a weak perturbation
- We consider the system to begin in an eigenstate  $|i\rangle$  of a given Hamiltonian  $H_0$
- We consider the effect of a (possibly time-dependent) perturbing Hamiltonian  $H'$ .
- The transition probability per unit of time  $\lambda$  from the state  $|i\rangle$  to a set of final states  $|f\rangle$  is given to first order in the perturbation by

$$\lambda = \frac{2\pi}{\hbar} |\langle f | H' | i \rangle|^2 \rho$$

- $\rho$  is the density of final states (number of continuum states per unit of energy)